

Name & Broker Code / ARN / RIA Code	Sub Broke Agent ARN		Sub	Agent Co	ode	EUIN*	Intern	al Code	for AMC	ISC	Date 1 Refere		
JIN Declaration: Declaration for "Execution Only" To x has been intentionally left blank by me/us as this propriateness, if any, provided by the employee/relable. In respect of my/our investments under Direct Plan	transaction is executed w	ithout any in	nteraction or distributor/su	r advice by tl ub broker. RI .	ie employee/rela A/Declaration: "I	tionship manag We hereby give	er/sales person e you my/our co	of the above nsent to shar	distributor/s	sub broker or	notwithsta	inding the	advice of
JIN Declaration: Declaration for "Execution Only" To x has been intentionally left blank by me/us as this propriateness, if any, provided by the employee/relable. In respect of my/our investments under Direct Plan Signature of 1st Applicant / Guard Authorised Signatory /PoA/Ka ease Lumpsum Investment TRANSACTION CHARGES (Please of IAMA FIRST TIME INVESTOR IN MUTU. Discount of the provided by the deduction of the provided by the same of the provided by th					oplicant / Guar Signatory /PoA	dian /		S		f 3 rd Applica rised Signa		dian /	
ease 🕢 Lumpsum Investmen	t ()			Micro Ap	olication (SIF	Applicati	on 🔾		
TRANSACTION CHARGES (Please) IAM A FIRST TIME INVESTOR IN MUTU pplicable transaction charges will be deduct istributor) based on the investor's assessm	JAL FUNDS ted in case your distrik ent of various factors	outor has o	OF opted for s the service	R such charg es rendere	es. Upfront co	mmission sh Holder.	•	rectly by th	e investor	to the ARI		(AMFI re	egister
1. EXISTING UNIT HOLDER INFORI	MATION [Please fil	in your					d to Section	1 / - Inve	stment L	Details			
olio No.			(CKYC Ide	ntification	No. (KIN)							Ш
2. APPLICANT(S) NAME AND INFO st SOLE APPLICANT Mr. / Ms. / M/s. ease write the name as per PAN Card)	RMATION [Refer In	structio	n 2] If th	e 1 st / So	e Applicant	is Minor, th	hen please	PAN PAN	details of	f natural <i>i</i>	legal g	uardiai	1
KYC ID No. (KIN)						Pls	indicate if US				•		of Ca
JARDIAN (In case 1st Applicant is a M	linor)) Yes		No ^s (\$Def			√ \
: / Ms. / M/s.								O Moth		Father		Lega	-
JARDIAN CKYC No. (KIN)						Please ✓) of Attached	GUAF	RDIAN PAN					
JARDIAN AADHAAR No.								Aadhaar	Copy (Ple	ease 🗸) 🔾) Enclose	ed	
DA / Custodian Name:									K	YC (Pleas	se ✓)() Proo	f Atta
DA / Custodian KYC ID No. (KIN)							POA / Cus	todian PAN					
ontact Person for Corporate Investo	r:	Name						Designation	on:				
3. FIRST APPLICANT AND KYC DE	TAILS												
SOLE APPLICANT O Individual of	r O Non-Individua	ıl [Please	fill Ultim	ate Bene	icial Owners	hip (UBO) [Declaration	Form in s	ection 11	a & 11b -	Refer In	structio	n No.
tate of Birth/Incorporation dividual) / (Non-individual) / (Non-shrindividual) / (Non-shrindividual)	MYYYY		of of Dat		(Please ✓)	○ B	Birth Certificate	e	0	School Le Others	aving Cer		Mark S
ace of Birth / corporation: lease write the Date of birth as per Aadhaar Ca	Country of Birth Incorporation:	1			National	ity:			Gender	r () Mal	e () F	emale	0
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HUF O LLP O Listed Company O P	rivate Company O P	ublic Ltd. C	Company		ıl .luridicial Pe	son (Part	nershin Firm	O FOF - I	MF Schem	nes Onth	ners	(Please s	specify)
THO O LET O LINES COMPANY O T	O Privat			Public Sec		vernment Se		Student		O Profe			House
. Occupation Details [Please tick (✓)	Busin	ess	O R	Retired	○ Ag	iculture	C) Propriet	orship	Othe	rs(Please s	pecify)
Politically Exposed Person (PEP) Status			_				,			I am Relate			
Gross Annual Income (₹) [Please tion	ck (✓)] ○ Below	/ 1 Lakh	O 1	-5 Lakh	O 5-1) 10-25 La		○ >25 l	_akh	0 :	> 1 C
. Net-worth (Mandatory for Non-Indix . Non-Individual Investors involved/ any of the mentioned services	providing O	•	_		Changer S		on D D Gamir	ıg/Gambli	ng/Lotter	y/Casino	_ (older th	nan 1
4. BANK ACCOUNT DETAILS - Ma	ndatory [Pefer Inc			Pawning			○ None	of the abo	ove				
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nk Branch City:		St	ate:						Pin	Code			<u></u>
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* mandatory fields

Mode of Holding: Anyone or S											
	urvivor	○ Sing	gle	○ Joint	(Please note	e that the De	fault opti	on is Any	one or Surv	ivor)
2 nd APPLICANT Mr. / Ms. / M/s. (No. (Please write the name as per PAN Card)	Applicable in cas	se of Minor Applica	nt)				Gende	er O Ma	ale () F	emale (Other
PAN Details			Pls indicate if US P	erson or a resi	dent for tax purpos	se / Resident	of Canada	○ Yes	○ No*	(*Default if no	ot <mark>√)</mark>
CKYC ID No. (KIN)				KYC Pls 🕢	O Proof Attac	ched Da	te of Birth (per PAN Card	Mandatory)) <u>D D I</u>	M M Y Y	ΥΥ
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a*. Occupation Details [Please tick (⁽)1	Private Sector Business	Public SectoRetired	r O Govei O Agricu	nment Service ulture	○ Stude○ Propr		ProfeOther		O House lease specify)	
b*. Gross Annual Income (₹) [Please	1 1	Below 1 Lakh	O 1-5 Lakh	O 5-10 L		O 10-25	Lakh	○ >25 L	_akh	○ > 1 Cı	rore
c*. Politically Exposed Person (PEP) Statd. Net-worth ₹	us Olam Pi	EP ○lam Re		Not Applicable M		(Not older	than 1 year)				
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d. Net-worth ₹		_			Y Y Y	(Not older	than 1 year)				
6a. MAILING ADDRESS [Please pro	vide your E-m	ail ID and Mobil	le Number to help	o us serve yo	ou better]						
Local Address of 1st Applicant											
		City		St	ate		Pin C	Code			
Tel. Off.			Resi.		N	Mobile^^					
E - Mail^^											
The primary email address as provide form/not available in the transaction of APlease Use Block Letters. Investors possible to the provide the provided House of the primary forms of the primary fore	reed file, the e-recording email ID ical copy of the s	mail address/mo would mandatori scheme-wise ann	bile no. of the sol ily receive all Comr ual prepare of abri	e/first applications, Sidged summer	ant details will b tatement of Accor thereof please (e updated unts and Ab (✓) here ○	as per the K ridged Annua	YC data.			catio
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6b. Mandatory for NRI / FII Applica		viac i ali Addice	30.11. 3. Box 113.	may not be s	sufficient. For O	verseas In	vestors, Ind	lian Add	ress is p	referred]	
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Overseas Correspondence Address 7. INVESTMENT AND PAYMENT I			nation on Investn					lian Add		referred]	
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^{*} mandatory fields

FATCA & CRS DETAILS (Please consult your professional tax advisor for further guidance on FATCA & C

PART A To be filled by Financial Institutions or Direct Reporting Non Finacial Entity (NFEs)

3. PAN:

City of Birth:

City of Birth:

Country of Birth:

Country of Birth:

Father's Name: # Additional details to be filled by controlling persons with tax residency / permanent residency / citizenship / Green Card in any country other than India.
* To include US, where controlling person is a US citizen or green card holder
%In case Tax Identification Number is not available, kindly provide functional equivalent

Nationality:

Nationality:

Father's Name:

Occupation Type:

Application No.: Cheque/DD should be Drawn in favour of the Scheme Name*

Mirae Asset Large Cap Fund	Mirae Asset Emerging Bluechip Fund	Mirae Asset Cash Management Fund	Mirae Asset Focused Fund
Mirae Asset Hybrid Equity Fund	Mirae Asset Tax Saver Fund	Mirae Asset Dynamic Bond Fund	Mirae Asset Midcap Fund
Mirae Asset Savings Fund	Mirae Asset Great Consumer Fund	Mirae Asset Short Term Fund	Mirae Asset Overnight Fund

Gender O Male

Gender O Male

Date Of Birth:

○ Female

○ Female

Other

Other

FOR INDIVIDUALS: Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

	1 st Applicant	(Sole / G	uardian / Non-Individual)		2 nd A	pplicant		3 rd A	pplicant
Countr Citizen	ı have any no y(ies) of Birth ship / Nationa x Residency	1/	○ Yes ○ No	Do you have any no Country(ies) of Birth Citizenship / Nationa and Tax Residency	1 /	◯ Yes ◯ No	Do you have any no Country(ies) of Birt Citizenship / Nation and Tax Residency	h / nality	◯ Yes ◯ No
Countr	ry of Birth / oration			Country of Birth			Country of Birth		
Countr	y Citizenship ality	1		Country Citizenship Nationality	1		Country Citizenship Nationality	p /	
Are you	u a US specif 1?	ied	○ Yes ○ No Please provide Tax Payer Id.	Are you a US specif person?	ied	○ Yes ○ No Please provide Tax Payer Id.	Are you a US speci person?	fied	Yes No Please provide Tax Payer Id.
For nor	n-Individual inv	estor in ca	ase, if you country of incorporation /	Tax resistance in US, b	ut you are	not a specified US person then p	ease mention exemption	n code	(Refer instruction 16(e))
	ual or Non-Ind d Yes above.	dividual i	nvestors fill this section	Individual investor	have to	fill in below details in case of joi	nt applicants		
		Country	y:		Countr	y:		Count	ry:
Tax Res	sidency : 1	No.:		Tax Residency Status: 1	No.:		Tax Residency Status: 1	No.:	
		Туре:			Type:			Type:	
		Country	у:		Countr	у:		Counti	ry:
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		Type:			Туре:			Type:	
		Country	y:		Countr	y:		Counti	ry:
Tax Res	sidency : 3	No.:		Tax Residency Status: 3	No.:		Tax Residency Status: 3	No.:	
		Туре:			Type:			Type:	
Addres	ss Type			Address Type			Address Type		
	(Addre	ss Type:	Residential or Business (default)	/ Residential / Busines	ss / Regis	stered Office) (For address ment	ioned in form / existing	g address	appearing in folio)
			the POA holder should fill separate	· .		•			
To The Truss agree to abis provisions o Asset Mutua information/ I/We will indi the form of communica Investors a to the regist "Person Rees Canada. In Form (read a accept the s reverse the c other additic	stees, Mirae Asse de by the terms, or of the Income Tax A al Fund. (D) The in (details with the Ah emnify the Fund, A trail commission ated any indicath vailing the online ered investment a sident in India" and case of change t allotment of units, in onal information as no allothermation as in onal information as in onal information as in onal information as in onal information as	t Mutual Fur noditions, rula lock, Anti Mon nformation g MC/Fund/Re MC, Trustee or any othe re portfolio facility: I/W dvisor (RIA) d are allowed to this status CA&CRS In advised in form f subsequen may be requ	es and regulations governing the scheme. (B) ley Laundering Laws or any other applicable la ilven in I with this application form is true and eigstrars and Transfer Agent (RTA) from time to e, RTA and other intermediaries in case of any or mode), payable to him for the different cor and or any indicative yield by the Fund/Al (e have read, understood and shall be bound b through the registrar or otherwise. (I) Applica It or invest into the Scheme as per the said FEN s. I / We shall notify the AMC, in which even instructions) and hereby confirm that the inform nation is not provided, it will be presumed that tly it is found that applicant has concealed the fiired at your end. (L) Aadhaar: I/We hereby vol	ad the contents of the SID of the We hereby declare that the aims enacted by the Governme correct and further agrees it time. I'We hereby confirm the ispute regarding the eligibility praeting Schemes of various IC/its distributor for this in virtle terms & conditions of the ble to Foreign Resident's R IA regulations and other applict the AMC reserves the right attorn provided by me I/us on the applicant is the ultimate bene acts of beneficial ownership. I/untarily submit Aadhar card to	e Scheme a mount invest nt of India fro furnish add at the AMC/F, validity and Mutual Fur vestment. I/ PIN agreem tesiding in I cable laws a to redeem is Form is tr ficial owner, We also und the Fund/AM	pplied for (Including the scheme(s) available ed in the scheme is through legitimate source on time to time. (C) Signature of the nomine littional information sought by Mirae Asset I und shall have the right to share my informa authorization of mylydour transactions. (E) IVM dis from amongst which the Scheme is by We have not received nor have been inducent available on the AMC website for transandia: - IV We confirm that IVMe satisfy the Re and regulations. (J) I VMe confirm that I am. my I our investments in the Scheme(s). (It is, correct, and complete. I VMe also confirm with no declaration to submit. In such case, ertake to keep you informed in writing about IC for updating the same in my folio.	es only and does not involve an e acknowledging receipts of my rwestment Managers (India) Pi tion and other details with the re fe further declare that "The AR' ing recommended to melus. db yany rebate or gifts, direct ting online. (H) RIA: I/We heret istidency test as prescribed und We are not United States per t) FATCA /CRS Certification: It hat I / We have read and unde the concerned SEBI registered any changes/modification to the year of the service of the concerned any changes/modification to the	d is not desig //our credit w rivate Limite egulatory and N holder has (F) I/We here y or indirectly by agree to co eler FEMA pro- rson(s) unde I/ We have u rstood the F/ d intermediar e above inforr	beby apply for units of the said such scheme and ined for the purpose of the contravention of any ill constitute full discharge of liabilities of Mirae d* (AMC) / Fund and undertake to update the government authorities as and when needed, disclosed to me/us all the commissions (in bby confirm that I/We have not been offered) in making this investment. (6) Applicable to onsent the AMC to share my transaction details voicins. I/We further declare that I/We ami/are are the laws of United States or resident(s) of nderstood the information requirements of this ATCA CRS Terms and Conditions and hereby reserves the right to reject the application or mation in future & also undertake to provide any during stresses from "Mirae Asset Global Investments
			Managers (India) Private Limited'. Kindly refer n				non non-objection to trans	IOI UIGAIVIO	Sugar 1999 HOTH WINDER ASSET CHOUNT HIVESUITERIS
			plicant / Guardian / atory /PoA/Karta			pplicant / Guardian / Signatory /PoA			Applicant / Guardian / Signatory /PoA
d I	Received A	oplicatio	on from Mr. / Ms. / M/s.						For O Lumpsum 'OR' O SIP as per details below:
ENT		-	me Name and Plan		P	ayment Details	Date & S	Stamp o	f Collection Centre / ISC
/LEDGMENT SLIP				Amount (Rs	′ ——			-	

Dated Bank & Branch

SYSTEMATIC INVESTMENT PLAN (SIP) Registration Cum Mandate Form For NACH/Direct Debit



With Goal SIP & Top- Up Facility

Application No.:

Name & Broker Code / ARN / RIA Code	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stam Reference No.
JIN Declaration: Declaration for "Execution Only at the EUIN box has been intentionally left blan twithstanding the advice of in-appropriateness, it e transactions data feed/portfolio holdings/ NAV e	"Transaction (where Employee Unick by me/us as this transaction is e fany, provided by the employee/rela	que Identification Number-EUIN xecuted without any interactio ationship manager/sales persor	N* box is left blank). Plean or advice by the emp of the distributor/sub b	se refer instruction 12 of KIM for com oyee/relationship manager/sales per roker. RIA Declaration: "I/We hereby	plete details on EUIN. I/We hereby son of the above distributor/sub br give you my/our consent to share/
e transactions data feed/portfolio holdings/ NAV e	etc. in respect of my/our investments	s under Direct Plan of all Schem	nes managed by you, to	he above mentioned SEBI-Registere	d Investment Adviser/ RIA".
Signature of 1st Applicant / Guardian / Authorised Sig	natory / PoA / Karta Signat	ure of 2 nd Applicant / Guardian / A	uthorised Signatory / PoA	Signature of 3 rd Applicant	/ Guardian / Authorised Signatory / Po
<u> </u>	egistration (Please fill all secti	,	P Top-up Facility	OR Goal SIP	
1. EXISTING UNIT HOLDER INFORM name of 1st Unit Holder	MATION (The details in our	records under the folio	number mention	Folio No.	ation.)
2. SIP ENROLMENT DETAILS (Please	se check the Minimum Am	ount Criteria for the sc	neme applied for.	Refer Instruction 17 Overle	af]).
equency Please 🕢 🔘 Monthly (I	Default)	O Regular Plan	Direct Plan		Dividend Reinvestment (Plea
cheme:	/ Date from 1 st till 28 th of the n	nonth			Dividend Payout
	be considered as the default		f) 0 5,000 0 1	0,000	ther Amount. (₹)
P Start Month (MM/YY) M M Y	Y SIP End Month (MM/Y	,		ec 2099 (Till you instruct Mirae A	
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b. SIP TOP-UP FACILITY (You can			· · · · · · · · · · · · · · · · · · ·		
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CLARATION & SIGNATURE: To The Trustees, Mirae Ass	set Mutual Fund - Having read and understo	ood the contents of the SID of the Sch	eme applied for (Including th	e scheme(s) available during the New Fund	Offer period); I/We hereby apply for units of
ch scheme and agree to abide by the terms, conditions, rul isons of incomplete or incorrect or any other operational rea count on the date of execution of the said standing instruct	asons, I/We would not hold Mirae Asset Inve	estment Managers (India) Private Lim	ited, their appointed service p	roviders or representatives responsible. I/W	e also undertake to keep sufficient funds in
nds from amongst which the Scheme is being recomm 000 in a rolling 12 month period or in a financial year". <i>I</i>	ended to me/us". "I/We have not made a	ny other Micro application [includi	ng Lumpsum + SIPs] which	together with the current application wo	ald result in aggregate investments exc
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General Guidelines

This mandate registration form will be submitted through National Automated Clearing House (NACH)

- This facility is offered to investors having Bank accounts in select banks mentioned in the link http://www.npci.org.in/ The Banks in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of National Payments Corporation of India (NPCI) without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. The investor consents to agree to abide by the terms and conditions of NACH facility of NPCI.

 By signing this Systematic Investment Plan Application form, the Applicant(s) hereby authorises Mirae Asset Investment
 Managers (India) Private Limited, Investment manager to Mirae Asset Mutual Fund acting through their authorised service providers to debit the mentioned Bank A/c provided by NACH/ECS (Auto Debit Clearing / Direct Debit) Facility or any other facility for collection of SIP payments.
- Please submit the NACH mandate registration form not less than 25 business days before the first installment date. The installment start date shall not be later than 2 months from the date of application submission date. Applicant acknowledges that incomplete or ambiguous forms in any respect will not be processed & AMC reserves the right to reject such applications.
- Investor shall have the option of choosing any date of the month as the SIP date from 01st to 28th except the last three calendar dates 29th, 30th and 31st. If SIP debit date is not mentioned default date would be considered as 5th of every month Even If the Investor selects or mentions the SIP dates as 29th, 30th or 31st, the default SIP date would be considered as 05th of every month only.
- Investor/Unitholder(s) should preferably provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate may be updated in the folio. All future communication whatsoever would be, thereafter, may be sent to the updated mobile number and email id.
- Investor/Unitholder(s) should submit original Cancelled Cheque (or a copy) along with mandate form with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unitholder(s) cheque/bank account details are subject to third party verification.
- Investors are required to ensure adequate funds in their bank account on the date designated SIP date. Mirae Asset Mutual Fund (MAMF) through its service provider will endeavor to debit the investor bank account on or after the said date.
- Applicant acknowledges that Mirae Asset Mutual Fund will not be liable in any manner whatsoever, for any transaction failures due to rejection by the investor's bank/branch, which is due to technical reasons or due to delay in registration of the NACH and the Eurhbert Applicant is aware that he/she has to sufficiently keep its bank account funded for such non-debited transactions; which upon confirmation may be presented anytime to its bank for stipulated SIP debits. Further, the Applicant also confirms that it will not hold Mirae Asset Mutual Fund and/or its service providers responsible if the transaction is delayed or not effected by its Bank or if debited in advance on an Pre-Debit note or after the specific date due to various reasons or for any bank charges debited by its banker in its designated account towards NACH Registration/Cancellation/Rejections, NACH Debit/Auto Debit/Local Holidays.
- Mirae Asset Mutual Fund reserves the right to reverse allotments in case the debit is not paid by the bank for any reason whatsoever. Further, Mirae Asset Mutual Fund shall not be responsible and liable for any damages/compensation for any loss, damage etc., incurred by the investor. The Applicant is aware and assumes the entire risk of using the Auto Debit facility of NACH and takes full responsibility for the same.
- Mirae Asset Mutual Fund / Mirae Asset Trustee Co. Pvt. Ltd. / Mirae Asset Investment Managers (India) Private Limited reserves the right to discontinue or modify this facility at any time in future on a prospective basis. This right also includes the right to discontinue this facility in case Direct Debits through NACH routes are continuously rejected by the investor's bank for any reasons. Mirae Asset Mutual Fund reserves the right to reject any application without assigning any reason thereof.
- 10. Kindly note that any change in original SIP enrolment details such as SIP Date, Frequency, Tenure will be considered as fresh application and will be subject to applicable load structure and other terms at the time of application. Any change in any credential of bank particulars or transaction modification, will be treated as fresh Instructions, and applicants will have to use separate form for such changes/modifications.
- 11. Requests for any changes/cancellation in the NACH Bank Mandate request should be submitted at least 15 Business days in
- Investments made through Auto Debit mode are subject to realization of funds from investor bank accounts and the NAV guidelines will be applicable for the transactions which are connected with realization of funds.
- 13. In case any payment instruction for SIP installment is dishonored by the Bankers for three consecutive times for the reason
- Account Closed or In sufficient balance or any technical reasons as provided by NPCI/Aggregator.

 14. The facility will be automatically terminated upon receipt of intimation of death of the Unitholder. Further, fresh registrations will be required for all Minor Investors who have turned Major, along with fresh bank account credentials. MAMF reserves the right to reject all such transactions through old registrations.
- 15. Each SIP installment will be treated as a fresh transaction and shall be subject to applicable exit load structure prevailing on the date of each investment.
- Third Party Cheque / Funds Transfer: The payment towards investment can happen only from the bank account of 1st holder and therefore the 1st holder needs to be one of the holder in the bank account. As per the recent guidelines, Mirae Asset Mutual Fund has decided to restrict the acceptance of Third Party payments. Accordingly Third Party payment instruments for subscriptions / investments shall not be accepted by the AMC except in the following cases:
 - Payment by Parents / Grand-Parents / related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs. 50,000/- (each regular purchase or per SIP instalment);
 - $Payment \, by \, employer \, on \, behalf \, of \, employee \, under \, Systematic \, Investment \, Plan \, (SIP) \, facility \, through \, payroll \, deductions;$ iii) Custodian on behalf of an Ell or a Client.
 - For complete details, please refer the section on Third Party cheques given in the General Instructions.
- Please refer the "Minimum Application/Number of units" mentioned in the KIM under the section "Applicable to all schemes" for minimum subscription amount and frequency. Please take note of the Minimum Amount Criteria as under:

Schemes	Monthly / Quarterly Frequency
Mirae Asset Tax Saver Fund	₹ 500 - 5 Installments - Multiples of ₹ 500 thereafter
All Other Schemes	₹ 1000 - 5 Installments - Multiples of ₹ 1 thereafter

Please note Default Option is Growth. In case of Dividend, default option is Dividend reinvestment. In case of ambiguity at Plan level, it will always be under Direct Plan.

- The transactions are liable to rejection incase Investor has Multiple Auto Debit Mandate at folio level. Separate forms will be required to be submitted to avail of SIP in separate schemes / plans / date. A single form cannot be used for different schemes simultaneously.
- Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee / relationship manager / sales person of the distributor of mutual fund products to quote the EUIN obtained by him / her from AMFI in the Application Form. EUIN, particularly in advisory transactions, would assist in addressing any instance of misspelling even if the employee / relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior relationship managensates person later leaves are employment or the dependent of the control of where there is no interaction by the employee/sales person / relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the requisite declaration separately signed by the investor.

- 20. Mirae Asset Mutual Fund/Sponsor Bank/NPCI are not liable for the bank charges, if any debited from investor's bank account by the destination bank, on account of payment through NACH.
- For further details of the Scheme features like minimum amounts, risk factors etc, investors should, before investment, refer to the Scheme Information Document(s), Key Information Memorandum and Addenda issued available at any of the Investor Service Centers or distributors or from the website www.miraeassetmf.co.in

22. Additional for MICRO Systematic Investment Plans (MICRO SIPs)

Additional for MICRO Systematic Investment Plans (MICRO SIPs)

As per SEBI guidelines, Lumpsum and SIP investments in mutual funds up to Rs.50,000 per year, per investor, per mutual fund shall be exempted from the requirement of PAN subject to other operational guidelines. Any investment, lumpsum or through Systematic Investment Plans (SIPs) by investors, where aggregate of investments/instalments in a rolling 12 months period, does not exceed Rs. 50,000/- per investor will be treated as Micro investments for the above purpose. Micro investments by individuals (not NRI & PIOs), Minors and Sole proprietary firms. HUFs and other categories will not be eligible for Micro Investments. The exemption is applicable to joint holders also. In case under MICRO SIP, the first SIP Instalment is processed (as the cheque such baseful, and the artification is found to be defined by the violent plants.) may be banked), and the application is found to be defective, the Micro SIP registration will cease for future instalments. No refund to be made for the units already allotted. However redemptions will be allowed based on the submission of normal prescribed Redemption Transaction Slip. Investors may submit any one of the Photo Identification documents (please refer paragraph titled PAN under the General instructions) along with KYC form and proof of address (self-attested). No separate address proof is required if Photo ID with address mentioned on it is submitted. The photo identification documents have to be current and valid and also to be either self-attested or attested by ARN holder (AMF I Registered Distributor). All the applicants including second and third applicant (if any) is mandated to submit any of the above documents.

Terms & Conditions - SIP Top-up:

'SIP Top-Up' is a facility which will enable investors to increase the amount of SIP installments at pre-defined frequency by a fixed amount during the tenure of SIP. The features, terms and conditions for availing the 'Top-Up' facility shall be as follows:

- · SIP Top-Up facility can be availed at half yearly and yearly intervals. In case the Top-Up frequency is not specified, Default will be considered as yearly frequency.
- Minimum Top-Up amount would be Rs. 500/- & in multiples of Re. 1/- thereafter for all the schemes of the Fund that offer SIP.
- In case the investor does not specify Top-Up amount, Rs. 500/- will be considered as the Top-Up amount and the request will be processed accordingly.
- SIPT Op-up facility can be started after minimum 6 months from the date of 1st SIP for both New and Existing SIP Investors. If the end-date of the Top-up facility is not mentioned the Top-up facility will be continued up till the tenure of the SIP. For example, if the SIP is registered up till 2099, and the end date of the Top-up facility is not mentioned; then the Top-up will
- SIP top-up facility is currently available only for SIP registration and installment payments made directly with the fund and through modes like NACH/Auto Debit mode. SIP Top up facility is currently not available for SIP registration and installment being made by submission of Post-dated cheques (PDCs) and where SIP is registered and installments are sent through Mutual Fund Utility (MFU), NSE MF II system of NSE or BSE Star MF platform of BSE or any other platforms of these stock exchanges or Channel partners, Mirae Asset Online SIP (I-SIP). As & When relevant systems are put in place, this facility will be automatically offered.
- The Top-up details cannot be modified once enrolled. In order to make any changes, the investor must cancel the existing SIP and enroll for a fresh SIP with Top-up option.

 In case the SIP Top up is cancelled the SIP will continue to be processed with the last topped up amount till the SIP end date.
- SIP Top Up facility can be availed by Existing Investors who have already registered any SIP with the fund, after a gap of 6 months from the date of submission of such Top Up application request and after the subsequent cycle date SIP has been
- All other conditions generally applicable for SIP shall also be applicable for SIP top-up facility.
- For Example if for an Existing SIP, the First SIP date is 15th of each Month from Jan 2016; and the Top Up application request is submitted on 22nd Feb, 2018. The Next SIP date will be 15th of March, 2018; therefore the Top Up will start after 6 Months from 15th of September, 2018
- Top-Up facility would be available to all existing and new SIP enrolments. Existing investors who have enrolled for SIP are also eligible to avail Top-Up facility and will be required to submit 'Systematic Investment Plan (SIP) with Top-up Facility' at least 25-30 calendar days prior to the Top-Up start month. An Illustration: The Top-Up facility will work as follows

Details of SIP registered	Details of Top-up opted for
 Fixed SIP Installment amount: ₹ 5,000/- SIP Period: 01-April-2019 till 31-March-2022 (3 years) 	Example: • Top-Up Amount: Rs. 1.000/-
SIP Date: 1ct of every month (36 installments)	Ton-Un Frequency: Every 6 months

Based on above details, SIP Installments shall be as follows

Installment No(s).	SIP Installment (in ₹) (A)	Top-Up amount (in ₹) (B)	Monthly SIP Installment amount after Top-up (in ₹) (A+B)
1 to 6	1 to 6 5,000 NA		5,000
7 to 12	7 to 12 5,000		6,000
13 to 18	6,000	1,000	7,000
19 to 24	7,000	1,000	8,000
25 to 30	8,000	1,000	9,000
31 to 36	9,000	1,000	10,000*
			*(At-least amount to be filled on NACH Mandate)

24. Terms & Conditions - Goal Based SIP:

- · SIP application can have only one Goal assigned. Investors will be required to submit separate application forms for
- Units will not be automatically redeemed upon achievement of Goal and the SIP shall continue till the term of the SIP, even after the crossing of the Goal Amount. Further, Units will be redeemed as per First In First Out basis for any redemption
- Goal Amount & type of Goal is mandatory for each Goal SIP Application. Default option will be Retirement Planning where no Goal is ticked. If no Goal amount is mentioned on the SIP application in section 2a., then the SIP will be registered as a regular SIP & not as Goal SIP.
- Goal SIP facility is currently not available for SIP registered/submitted through Post-dated cheques (PDCs), OR through Mutual Fund Utility (MFU), OR through MFSS system if NSE or BSE Star MF platform of BSE or any other platforms of these stock exchanges or Channel partners or Mirae Asset online SIP (I-SIP). As & when relevant systems are put in place, this facility will be automatically offered on respective platforms.

 Investors may kindly note that the status of Goal sip cannot be changed once registered. Investors will have a choice to
- discontinue the Goal SIP, with a prior notice if 15 days
- · All other conditions generally applicable for SIP shall also be applicable for Goal SIP

Instructions to fill Mandate:

- 1. UMRN To be left blank.
- Date in DD/MM/YYYY format 2
- 3. Sponsor Bank code to be left blank for office use only.
- Utility Code: Unique code of the entity to whom mandate is being given To be provided by the entity.
- Name of the entity to whom the mandate is being given. 5.
- 6. Account type - SB/CA/CC/SB-NRE/SB-NRO/OTHER
- Tick Select your appropriate Action
 - a. Create For New Mandate
 - $b.\,Modify\,\hbox{-}\,For\,Changes\,/Amendment\,on\,existing\,Mandate$
 - c. Cancel For cancelling the existing registered Mandate
- Your Bank Account Number for debiting the amount.
- Name of your bank and branch.
- 10. Your Bank branch IFSC code OR
- 11. Your Bank branch MICR code

- 12. Amount in words.
- Amount in figures.
- 14. Frequency at which the debit should happen.
- 15. Whether the amount is fixed or variable.
- 16.Reference 1: Any details requested by the entity to whom the mandate is being given
- 17. Reference 2: Any details requested by the entity to whom the mandate is being given.
- 18. Your phone number.
- 19. Your email-id.
- 20. Period for which the debit mandate is valid
 - a. Start date
 - b. End date
 - c. Or until cancelled
- 21. Signatures of the account holder as per holding pattern in bank records.
- 22. Name of the account holder.

Please read the Key Information Memorandum (KIM) and the terms of the Scheme Information Document (SID) and Statement of Additional Information (SAI) of the Scheme carefully before investing / filing the application form.

All investors / applicants are deemed to have read, understood and accepted the terms, subject to which the offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment.

Applications incomplete in any respect (other than mentioned above) will be liable to be rejected.

General Instructions 1.

(a) The application form should be completed in ENGLISH in BLOCK LETTERS only. CAF complete in all respects, may be submitted at the designated investor Services Centers (ISC)/Official Point of acceptance, (b) Investors must write the Application Form number/Folio number on the reverse of the cheques and bank drafts accompanying the CAF. (c) Please strike out any section that is not applicable. Any cancellation and modification on any of the mandatory information should be countersigned. (d) Please refer to the checklist at the end of these notes to ensure that the requisite details and occuments have been provided in order to avoid unnecessary delays and/or rejection of your application. (e) if the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application/transaction slip duly signed by investor(s). (f) Applications incomplete in any respect (other than mentioned above) will be liable to be rejected.

Applicant Information

- Name and address shall be given in full without any abbreviations. In case the Investor is an NRI/FII, an overseas address must be provided (mandatory). Alocal address if available should also be mentioned in the CAF.
- Name of the guardian must be mentioned if the investments are being made on behalf of a minor. Guardian of the minor must be either a natural guardian or a Court appointed guardian. Date of birth is mandatory for minors and has to be supported with Age proof.
- Name of the contact person, e-mail and telephone number should be mentioned in case of investments by a Company, Body Corporate, Trust, Partnership, Society, Fil and other eligible non-individual applicants. Any change in the status of any Authorized Signatory should be promptly intimated to the AMC. Incomplete application forms are liable to be rejected.

(d) KYC Requirements and Details:

Implementation of Central KYC (CKYC):

The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC records in digital form.

Non Individual Investors:

CKYC is currently not applicable for Non-Individual Investors. All new Non Individual Investors will continue with the old KRA KYC form. Details of net worth are mandatory for Non Individual applicants. Details of net worth shall be of a date which is within one year of the application. Non Individual Applicants, not being a company that is listed on any recognized stock exchange or is a subsidiary of such listed or is controlled by such listed Company, are also required to fill in details of ultimate beneficial ownership in section 11(a) and 11(b) of the common application Form.

Individual Investors:

(i) New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund.

(ii) If any new individual investor uses the old KRA KYC form, then such investor will be required to either fill the new CKYC form or provide the missing/additional information using the Supplementary CKYC form.

(iii) Investors who have already completed CKYC and have a KYC Identification Number (KIN) from the CKYC platform can invest in schemes of the Fund quoting their designated KIN issued by CKYC on the application form (14 digits for normal accounts and 15 digits for simplified and small accounts). Further, in case the investor's PAN is not updated in CKYC system, a self-certified copy of PAN Card shall be mandatory.

Further, the AMC/ Mutual Fund shall use the KIN of the investors to download the KYC information from CKYC and update its records as and when required. The CKYC form and Supplementary CKYC form for individual investors and common application form are available on our website.

Currently there is no impact on the Existing Investors who have done the SEBI KYC (KYC thru 5 KRAs, CVL, NDML, DOTEX, KARVY & CAMS). They can continue to invest as it is in any schemes of any Mutual Fund; Existing Investors who wishes to onboard themselves on the CKYC platform will need to again do the entire KYC process just like New Investor and get the KIN which can be undergroup. which can be used across.

- Rejection: In case of non-compliance of any C-KYC requirements, Applications shall liable to be rejected without any intimation to the applicants. Any Change in Address for all KYC compliant Investors has to be routed through KRA and that direct application to AMC will be not processed/rejected. In case if the applications are rejected after detailed scrutiny and verification, either at the collection point itself or subsequently by the back office of the registrars for any reason, investors can contact the nearest Investor Service Centre or write to the Registrars, Ms. Karvy Fintech Pvt. Ltd. or send an email to customercare@miraeasset.com.
- (i) All the applicants must sign in original on the application form. Signatures should be in English or in any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate. In case of an HUF, the Karta will sign on behalf of the HUF.

(ii) In case the application is under a power of Attorney (POA), a duly certified copy thereof duly notarized should be submitted with the application. The POA document should contain the signature of both the applicant and the constituted Attorney.

(iii) Applications made by a Limited Company or a Body Corporate or a registered Society or Trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the MOA and AOA or Trust deed/Bye laws/Partnership deed, whichever is applicable. Refer to document check list.

Bank Account Details:

It is mandatory for the Sole/First Applicant to mention his/her bank account number in the CAF. CAF received without the relevant bank details will be rejected. The AMC may provide direct credit facility with the banks as may be available from time

Investor(s) are requested to note that for all Change of Bank details (COB) the investors must submit in original any one of the following documents of the new bank account:

a. Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. b. Self-attested copy of bank statement. c. Bank passbook with current entries not older than 3 months. d. Bank Letter duly signed by branch manager/authorized personnel.

The AMC may also collect proof of Old Bank details while effecting the Change of Bank "Mandate. There shall be a cooling period of 10 calendar days for validation and registration of new bank account, in case of receipt of redemption request during this cooling period, the validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 working days to the new bank account; however, the AMC reserves the right to process the redemption request in the old bank mandate, if the credentials of the new bank mandate cannot be authenticated.

Any COB accompanied with any other transaction is liable to be rejected.

If unit holder(s) provide a new and unregistered bank mandate or a change of bank mandate request with specific redemption/dividend payment request (with or without necessary supporting documents) such bank account may not be considered for payment or redemption/dividend proceeds, or the Fund may withheld the payment for upto 10 calendar days to ensure validation of new bank mandate mentioned.

b. Indian Financial System Code (IFSC): Investors are requested to mention the IFSC while submitting any bank details updation request to help facilitate the payouts seamlessly through the electronic route. IFSC is an 11 digit number given by the banks on the cheques.

Multiple Bank Accounts Registration Facility:

The unitholder may register more than one bank account through the 'Multiple Bank Accounts Registration Facility", to receive redemption/dividend proceeds. The unitholder may choose to receive the proceeds in any of the bank accounts, the details of which will be registered under the folio.

For the purpose of registration of bank account(s), the investors must submit in original any one of the following documents of the new bank account:

(a) Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. (b) Self-attested copy of bank statement. (c) Bank passbook with current entries not older than 3 months. (d) Bank Letter duly signed by Branch Manager/Authorized personnel.

If photocopies of the above stated documents are submitted, investor must produce the original for verification at the official point of acceptance of transaction. The original shall be returned to the investor over the counter upon verification. If the originals are not produced for verification, then the photocopies submitted should be attested in original by the Branch Manager or Authorised personnel of the Bank.

Direct Credit of Redemption/Dividend Proceeds:

Investors can opt for direct credit of the redemption proceeds to their bank accounts (Direct Credit / RTGS / NEFT). The AMC / MF reserve the right to use any other mode of payment as deemed appropriate, however the preferred mode will always be NEFT/RTGS.

Investment Details:

- Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at the local Mirae Asset Mutual Fund (MAMF)/AMC office or Authorised Collection Centre(s).
- Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque should be drawn in favor of respective scheme name. Non MICR/ Outstation Cheques/Money Orders/Post Dated Cheques or Cash is not permitted. Investors residing in Centres, where the Investors Service Centres (ISCs)/Authorised Collection Centre(s) of MAMF are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing at places which are not covered by our offices/authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India. Please refer SAI for complete details on D.D. charges.
- In case the payment is made through Indian Rupee draft purchased abroad from FCNR or NRE A/C, Account Debit certificate from the Bank issuing the draft, confirming the debit should be submitted.

For subscription made by NRE/FCNR Account cheques, the CAF must be accompanied with a photocopy of the cheque or Account debit Letter/certificate from the bankers. FIRC certificate is required to be submitted evidencing source of funds through Non Domestic Account. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s). In case the source of funds through Non Domestic Account is not validated/provided, AMC will not be in a position to repatriate redemption proceeds.

Applicants should indicate the Option (Dividend/Growth) for which the application is made. In absence of information the request would be processed under the default option as mentioned in the SID/SAI of the relevant scheme.

For Direct Investments, please mention "Direct" in the column "Broker/Agent Code".

- Third Party Cheque/Funds Transfer will not be allowed for Investment subscriptions (lumpsum/SIP) except in the following cases. Installments of SIPs registered before November 15, 2010 will not be affected (refer Addendum dated following cases. Inst November 12, 2010):
 - Payment by Parents/Grand-Parents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs. 50,000/. (each regular purchase or per SIP installment). However this restriction will not be applicable for payment made by a guardian whose name is registered in the records of Mutual Fund in that folio;
 - Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions;
 - Custodian on behalf of an FII or a Client.
- Options Available: Dividend and Growth Default Option: Growth; and under Dividend Option, Default option: Dividend Reinvestment. Investors may please note, that Dividend may be declared by the Trustee, subject to the availability of distributable surplus as per the Regulations.

Dividend Reinvestment can be availed at Daily, Weekly (Record date: Wednesday) & Monthly basis (Record date: 24th of every month). Kindly read the SID for frequency availability in respective scheme applied for.

Communication:

The investor whose transaction has been accepted by the MAMF shall receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement ("CAS") shall be issued in line with the following proceedure:

- 1. Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the first holder and pattern of holding.
- The CAS shall be generated on a monthly basis and shall be issued on or before 10th of the immediately succeeding month to the unit holder(s) in whose folio(s) transaction(s) has/have taken place during the month.
- In case there is no transaction in any of the mutual fund folios then CAS detailing holding of investments across all schemes of all Mutual Funds will be issued on half yearly basis [at the end of every six months (i.e. September/ March)]
- Investors having MF investments and holding securities in Demat account shall receive a Consolidated Account Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by email / physical mode.
- Investors having MF investments and not having Demat account shall receive a CAS from the MF Industry containing details of transactions across all Mutual Fund schemes by email / physical mode.

The word 'transaction' shall include purchase, redemption, switch, dividend payout, dividend reinvestment, SIP, systematic withdrawal plan, and systematic transfer plan and bonus transactions.

CAS shall not be received by the Unit holders for the folio(s) wherein the PAN details are not updated. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN. For Micro SIP and Sikkim based investors whose PAN details are not mandatorily required to be updated Account Statement will be dispatched by MAMF for each calendar month on or before 10th of the immediately succeeding month.

In case of a specific request received from the Unit holders, MAMF will provide the account statement to the investors within 5 Business Days from the receipt of such request.

Annual Reports or other information etc.. may be sent to unit holders by email. Investors can choose to receive e-mail communication from us in lieu of printed documents, when a unit holder has communicated his/her email address and has

Investor(s) who have provided their email address in the application form or any subsequent communication in any of the folio belonging to the investor. Electronic Mail (email) shall be treated as a default mode for sending various statutory communications including Abridged Annual Report to the investor. However, the unit holder always her light to request a physical copy of any statutory communication and the AMC will arrange for the same to be sent to the unit holder. The AMC/Mutual Fund/Registrars & Transfer agents are not responsible for the email not reaching the investor and for all consequences thereof. The investor needs to intimate the Fund/its transfer agents about any changes in the email address from time to time.

Online Transactions/Personal Identification Number (PIN):

This facility (transact@ease) enables Investors to transact on the website of the Fund which is https://transact.miraeassetmf.co.in/investor. This facility can be availed by all KYC Compliant Investors (Fresh/Existing) using their e-mail Address and Mobile Number. The Units can be transacted only in the Physical mode.

Nomination Details:

Nomination Details:

A Unit Holder in the scheme maybe allowed to nominate upto a maximum of three nominees. The nomination will be on a proportionate basis and investor may specify the percentage for each nominee in the event of his/her demise. If the percentage is not specified, it will be equal percentage for the nominees by default. Provision for mentioning the details of the nominees are made in the KIMApplication form and for separate nomination request forms is made available to the investors. The details of the nominee(s) will be captured by the Registrar and will be available in the data base maintained. Upon receipt of intimation from the nominee(s) regarding demise of the investor, duly accompanied with necessary documents e.g. providing proof of the death of the Unit Holder, letter from nominee, attested copy of the death certificate of the unit holder, KYC and complete bank details of nominee along with his signature duly attested in original by the banker, furnishing proof of user death is a minor, and such other documents as may be required from the nomine in favor of and to the satisfaction of the AMC/Registrar, the units will be transmitted to the nominee(s) as per the percentage advised by the investor and a confirmation/fresh Statement of account will be sent to the new holder(s).

Only the following categories of Indian residents can be nominated: (a)individuals; (b) minors through parent/legal guardian (whose name and address must be provided); (c) religious or charitable trusts; and (d) Central Government, State Government, a local authority or any person designated by virtue of his office.

However Non Individual, including society, trust, body corporate, partnership firm, Karta of HUF, persons applying on behalf of minor or on power of attorney cannot nominate.

A nomination in respect of Units will be treated as rescinded upon the Redemption of all Units. Cancellation of a nomination can be made only by the Unit Holders who made the original nomination and must be notified in writing. On receipt of a valid cancellation, the nomination shall be treated as rescinded and the AMC/Fund shall not be under any obligation to transfer the Units in favour of the nominee.

The transfer of Units/payment to the nominee of the Redemption proceeds shall be valid and effectual against any demand made upon the Fund/AMC/Trustee and shall discharge the Fund/AMC/Trustee of all liability towards the estate of the deceased Unit Holder and his/her legal personal representative or other successors. The Fund, the AMC and the Trustee are entitled to be indemnified from the deceased Unit Holder's estate against any liabilities whatsoever that any of them may suffer or incur in connection with a nomination.

The Investor may choose to provide or not provide the details of his nominee. Accordingly he shall choose to select the option provided under the application form of the scheme.

Waiver of Entry Load and Payment of commission and load structure:

No entry load will be charged by the Scheme to the investor. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder.

Investors should note the following instructions for ensuring that the application is treated as a direct application:

- 1. Broker code, if already printed on the forms must be struck off and countersigned by the investors
- Ensure that the broker code block in the form is not left blank (i.e. it should be either struck off or indicated 'direct' or NA). However, if the investor does not specify the application as "Direct" or otherwise, then the AMC treats such applications as "Direct" in the interest of the investors.

11. Transaction charges (TC):

In case of applications of Rs. 10,000 & more and routed through a distributor who has opted for such TC, are deducted from the subscription may be applicable from time to time: (I) Rs. 150/- from a first time mutual fund investors application (An investor who invests for the first time ever in any mutual fund either by way of subscription or SIP); (ii) Rs. 100/- from an existing mutual fund investors application. Units will be issued against the balance amount. Please tick the appropriate box as applicable to you.

Above ver, TC - in case of investments through SIP registered, shall be deducted only if the total commitment (i.e. amount per SIP installment x Number of installments) amounts to Rs. 10,000/- or more. The TC shall be deducted in 4 installments i.e. from the 2nd to the 5th installment, equally (In case there is a rejection for the reasons of non-availability of amount in the bank or bounce of cheque for any other reasons within 2 to 5th installments, TC will be deducted from the subsequent installments).

If no option is ticked, the mutual fund reserves a right to check with investments in other mutual funds to ascertain new or existing investors. Transaction charges shall not be deducted for transactions carried out through the stock exchange platforms (i.e. BSE StAR MF Platform). In addition to above, upfront commission to distributors shall continue to be paid by the investor directly.

12. Employee Unique Identification Number (EUIN):

In order to assist in in addressing any instance of mis-selling at any point of time, it is regulatory for every employee/relationship manager/sales person of the distributor/broker (interacting with the investor for the sale of Mutual Fund products) of mutual fund products to quote the EUIN (for non-advisory transactions) execution only) & advisory transactions obtained from AMF1 in the CAF. The EUIN is a 7 digit unique alpha numeric number (one alphaten and six numerals), Individual ARN holders including senior citizens are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. It is further clarified that a mere quoting of EUIN will not give an "advisory" character to the transaction. However, in case of any exceptional cases where there is no interaction by the employee/sales person/relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the declaration separately signed by the investor, as mentioned on the top of the application form(s).

Units in Demat mode:

Units of MAMF can be held by way of an Account Statement or in Dematerialized ("Demat") form. Unit holders opting to hold the units in demat form must provide their Demat Account details in the specified section of the CAF. In order to hold the units in Demat form, unitholders shall have a beneficiary account with the Depository Participant (DP) (registered with NSDL/CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the CAF the DPs name, DP ID Number and the beneficiary account number of the application with the DP. Applicants must ensure that the sequence of names and other details like Client ID, Address and PAN details as mentioned in the application from matches that of the account held with the DP. Only those applications where the details are matched with the DP data will be treated as valid periodical to the produced the produced to the control of the details are matched with the DP. Details he application in the control of the produced to the applications. If the details mentioned in the application are incomplete/incorrect, not matched with the DP data, the application shall be treated as invalid and shall be liable to be rejected. Unit Holders opting the units in the demat mode, can submit redemption/switch only through DP or through stock exchange platform. In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to demat form.

the holdings are converted in to dematform.

The US Department of the Treasury and the US Internal Revenue Service (IRS) has introduced the Foreign Account Tax Compliance Act (FATCA), effective July 01, 2014. The purpose of FATCA is to report financial assets owned by United States persons to the US tax authorities. Accordingly, AMC may be required to report information relating to the folios of the investors to the authority established by the Government of India for its submission to US authorities. AMC reserves the right to seek additional information / documents sough for FATCA details in the CAF for the disclosure and reporting of any tax related information obtained or held by the fund to any local or foreign regulatory or tax authority ("Tax Authority"). Upon request by the fund, investor hereby agrees to provide necessary information and permits the fund to disclose and report atx and account specific financial information to any local or foreign Tax authority. The potential consequences for failure to comply with requests for tax information disclosure include, but are not limited to: (a) Fund has the right to carry out actions which are necessary to comply with the local or foreign tax reporting obligations; (b) Fund has the ability to withhold taxes that may be due from certain payments made to the investor's account; (c) Fund has the right to carry out actions which are authority; (d) Fund has the right to retax so the appropriate tax authority. In the nestor agrees to inform, or respond to any request from, the fund, if there are any changes to tax information previously provided.

All Investors including non-individual investors shall be required to submit a mendate adoption of the province of the p

All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with the investment request. The indica's are to identify a US Person as defined under the Laws of the United States of America. The absence of completed documentations may prevent us from accepting the investment and may require us to redeem existing investments in case the same is mandated by the regulatory authorities.

The identification of US person will be based on one or more of the following US indicia: Identification of the investor as US citizen or resident (1) US is the place of birth or country of incorporation (2) Having US telephone number (3) Having any residence / mailing address / VC/o address / hold mail address / PO Box address in the US (4) Having Standing instruction to transfer funds to an account maintained in USA (5) Being POA holder based out of US or having US residence / citizenship (6) Paying tax in the US (7) Having Identification Number or any identification that indicates US residence / citizenship (8) Having US beneficiary owners /shareholders (9) The Director / Promotor / Authorised signatory / POA holder of non-individual investor is based out of US or holds US residence / citizenship.

15. Details under FATCA & CRS

As a part of regulatory process, the AMC may seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders and will report to tax authorities / appointed agencies/ institutions such as withholding agents should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

The investor may receive more than one request for information if you have multiple relationships with the AMC or its group entities. Kindly respond to all our requests, even if you have already supplied any previously requested information. For any queries about your tax residency, kindly contact your tax advisor. If you are a US citizen or residency regenerad holder, please include United States in the foreign country information field along with your US Tax Identification Number.

#It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Financial Institution (FI): The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined under FATCA guidelines.

Non-Financial Entity (NFE): Types of NFEs that are regarded as excluded NFE are:

a. Publicly traded company (listed company): A company is publicly traded if its stock are regularly traded on one or more
established securities markets (Established securities market means an exchange that is officially recognized and
supervised by a governmental authority in which the securities market is located and that has a meaningful annual value

of shares traded on the exchange).

Related entity of a publicly traded company: The NFE is a related entity of an entity of which is regularly traded on an established securities market.

Active NFE: (is any one of the following):

Code Sub-category

- Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
- The NFE is a Governmental Entity, an International Organization, a Central Bank , or an entity wholly owned by one or more of the foregoing;
- Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses of their than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes; 03
- The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
- The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
- The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; 06
- Any NFE that fulfills all of the following requirements: (1) It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; (2) It is exempt from income tax in India; (3) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; 07

inferest in its income or assets;
The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governatial entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.

Explanation: For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:- (1) an Investor Protection Fund referred to in clause (23EA); (2) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (3) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

- The stock of the entity is regularly traded on an established securities market or the non financial entity is a related entity of the entity, the stock of which is regularly traded on an established securities market.
- Document Type: Please mention the Code or Document as: "A" Passport; "B" Election ID Card; "C" PAN CARD; "D" Driving License; "E" NREGA Job Card.
- Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details.

(i) An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37). (ii) The United States or any of its agencies or instrumentalities. (iii) A state, the District of Columbai, a possession of the United States or any of their political subdivision or instrumentalities. (iv) A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i). (v) A

corporation that is a member of the same expanded affiliated group as a corporation described in Reg, section 1.1472-1(c)(1)(i), (vi) Adealer in securities, commodities, or derivative financial instruments (including national principal contracts, futures, forwards and options) that is registered as such under the laws of the United States or any state, (vii) are elisate investment trust. (viii) Aregulated investment company as defined in section 851 or an entity registered at all times during the tax year under the investment company act of 1940. (ix) A common trust fund as defined in section 584 (a), (x) Abank as defined in section 581, (x) Abroker, (xii) A trust exempt from tax under section 664 or described in section 4947(a)(1), (xiii) Atax exempt trust under a section 403(b) plan or section 457(g) plan.

Rasave Includes: Dividends: Interest; Income equivalent to interest, Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE; Annuities; excess of some transactions fine the sale or exchange of financial assets that gives rise to passive income; excess of protein sover losses from transactions (including futures, forwards, options and similar transactions) in any financial assets, excess of foreign currency gains over foreign currency losses; Net income from swaps; Amounts received under cash value insurance contracts. (But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.)

Passive NFE means: any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or an investment entity defined in clause (b) of these instructions a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes).

Direct reporting NFE means: a NFFE that elects to report information about its direct or indirect substantial U.S. owners to

the IRS.

Owner documented FFI: An FFI meets the following requirements: The FFI is an FFI solely because it is an investment entity; The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company; The FFI does not maintain a financial account for any non participating FFI; The FFI provides the designated withholding agent and that of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and The designated withholding agent agent agent agent and the provides the provides the provides the provides the provides the provides agent and the provides agent and the provides agent and agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an exempt beneficial owner.

- With effect from January 1, 2014, as per the US Securities Act of 1933, United States Person (U.S. Person), corporations and other entities organized under the applicable laws of the United States (U.S.) and Residents of Canada as defined under the applicable laws of Canada should not invest in units of any of the Schemes of the Fund and should note the following:
 - No fresh purchases (including SIP and Systematic Transfer Plans)/ additional purchases/switches in any Schemes of the Fund would be allowed. However, existing Unit Holder(s) will be allowed to redeem their units from the Schemes of the Fund. If an existing Unit Holder(s) subsequently becomes a U.S. Person or Resident of Canada, then such Unit Holder(s) will not be able to purchase any additional Units in any of the Scheme of the Fund.
 - All existing registered SIP and Systematic Transfer Plans would be ceased.
 - For transaction from Stock Exchange platform, while transferring units from the broker account to investor account, if the investor has U.S./Canadian address then the transactions would be rejected.
 - In case the AMC/MAMF subsequently identifies that the subscription amount is received from U.S. Person(s) or Resident(s) of Canada, in that case the AMC/Fund at its discretion shall redeem all the units held by such person from the Scheme of the Fund at applicable Net Asset Value.

17. Ultimate Beneficial Owner (UBO)

Investors (other than Individuals) are required to provide details of UBO(s) and submit POI (viz. PAN with photograph or any other acceptable POI prescribed in common KYC form) of UBO(s). Non-individual applicants/investors are mandated to provide the details on UBO(s)'by filling up the declaration form for UBO. Providing information about beneficial ownership will be applicable to the subscriptions received from all categories of investors except Individuals and a Company listed on a stock exchange or is a majority owned subsidiary of such a Company. In case of any change in the beneficial ownership, the investor should immediately intimate AMC its Registers / KRA, as may be applicable, about such changes. Please contact the nearest ISC of MAMF or log on to our website www.miraeassetmf.co.in for the Declaration Form.

Ultimate Beneficial Owner means:

For Investor other than Trust: A 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest.

Controlling ownership interest means ownership of / entitlements to: (i) more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company; (ii) more than 15% of the capital or profits of the juridical person is a company; (ii) more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or (iii) more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

where the juriousal person is an unincorporated association of body of individuals.

In cases where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity details should be provided of the natural person who is exercising control over the juridical person through other means (i.e. control exercised through voting rights, agreement, arrangements or in any other manner). However, where no natural person is identified, the identity of the relevant natural person who holds the position of senior managing official should be provided.

- For Trust: The settler of the trust, the trustees, the protector, the beneficiaries with 15% or more of interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.
- Applicability for foreign investors: The identification of beneficial ownership in case of Foreign Institutional Investors (FIIs), their sub-accounts and Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India / Reserve Bank of India may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012.
- CIRMIRSD/11/2012 dated September 5, 2012.

 UBO Code Description: UBO-1: Controlling ownership interest of more than 25% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company. UBO-2: Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership. UBO-3: Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is a partnership. UBO-3: Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person is an unincorporated association or body of individuals. UBO-4: Natural person exercising control over the juridical person through other means exercised through voling rights, agreement, arrangements or in any other manner [In case where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests], UBO-5: Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above]. UBO-6: The settior(s) of the trust. UBO-7: Trustee(s) of the Trust. UBO-7: The Protector(s) of the Trust [IBO-7: Natural person exercising ultimate effective control over the Trust through a chain of control or ownership.
- Investors may please note that the primary holders own email address and mobile number should be provided for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions.

In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCA declaration form (available on www.miraeassetmf.co.in).

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Subject to verification of documents



	Distributor Name & Broker Code / ARN / RIA Code	Sub Broker / Agent ARN Code RIA No.	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp, Sign, Reference No.
1.	EXISTING UNIT HOLDER INFORM	ATION (The details in our red	cords under the folio nu			cation.)
Name	e of 1 st Unit Holder:			Fo	olio No.:	
2. SIP P	SIP PAUSE REQUEST ause request must be submitted 10	Days in advance of the next S	IP date. All the above fie	lds are mandatory oth	erwise request will	be liable for rejection.
I / We	e wish to Pause my Systematic In	vestment Plan (SIP) for the	below given details:			
	n Scheme:		an:		Option:	
SIP I	nstallment Amount: ₹		SIP Pause P	eriod : Please (✓)	◯ 3 Month:	s Period or \bigcirc 6 Months Period
SIP F	Pause Start Date: D D M N	SIP Pa	use End Date: D D	M M Y Y		
Pleas	se provide current SIP IH Number a	s per SOA I.H No.:		SI	IP Date: D D	
Debit	t Bank Name:					
3.	DECLARATION AND SIGNATURES	S / THUMB IMPRESSION OF	APPLICANT(s) [Refer In	structions 2(f) of KIM]	
liabilitie informa I/We wi form of indicati I/We ha (RIA) th invest i notify th confirm will be phas cor	as of Mirae Asset Mutual Fund. (D) The information gis dison/details with the AMC / Fund/Registrars and Trans still indemnify the Fund, AMC, Trustee, RTA and other in trail commission or any other mode), payable to him for we portfolio and/or any indicative yield by the Fund/AM ave read, understood and shall be bound by the terms arrough the registrar or otherwise. (I) Applicable to Fore nto the Scheme as per the said FEMA regulations and ne AMC, in which event the AMC reserves the right to r that the information provided by me/us on this Formi presumed that applicant is the ultimate beneficial owne	ren in / with this form is true and correct and fer Agent (RTA) from time to time. I/We herel termediaries in case of any dispute regarding the the different competing Schemes of variou. (Cits distributor for this investment. I/We hav & conditions of the PIN agreement available gign Resident's Residing in India: I/We confir other applicable laws and regulations. (J) I/Jedeem my / our investments in the Schemef strue, correct, and complete. I / We also cor r., with no declaration to submit. In such case deftake to keep you informed in writing abo	further agrees to furnish additional in y confirm that the AMC/Fund shall hr y the eligibility, validity and authorizati s Mutual Funds from amongst which re not received nor have been induce on the AMC website for transacting or m that I/We satisfy the Residency tes We confirm that I am /We are not Un s). (K) FATCA/CRS Certification: I/V firm that I/We have read and unders t, the concerned SEBI registered inter	information sought by Mirae Asset was the right to share my informat on of my/our transactions. (E) I/W the Scheme is being recommend by any rebate or gifts, directly or online. (H) RIA: I/We hereby agree as prescribed under FEMA provi ited States person(s) under the la We have understood the informati tood the FATCA& CRS Terms and mediary reserves the right to reje	I investment Managers (India ion and other details with the re Ve further declare that "The Af- fed to me/us. (F) I/We hereby rindirectly in making this invese e to consent the AMC to share sisions. I/We further declare that was of United States or reside on requirements of this Form d Conditions and hereby acce ct the application or reverse the	dging receipts of mylour credit will constitute full discharge of JPrivate Limited (AMC) / Fund and undertake to update the egulatory and government authorities as and when needed. RN holder has disclosed to melus all the commissions (in the confirm that I/We have not been offered / communicated any trment. (G) Applicable to Investors availing the online facility: emy transaction details to the registered investment advisor at I/We am/are "Person Resident in India" and are allowed to nt(s) of Canada. In case of change to this status, I / We shall (read along with the FATCA & CRS Instructions) and hereby by the same. In case the above information is not provided, it he allotment of units, if subsequently it is found that applicant or additional information as may be required at your end. (L)
	Signature of 1st Applicant/Guard Authorised Signatory/PoA/Kar		Signature of 2nd Applicant Authorised Signatory			ature of 3rd Applicant/Guardian/ Authorised Signatory/PoA
4.	INSTRUCTIONS FOR SIP PAUSE	FACILITY				
2. S 3. Ir 4. S 5. Ir 6. S 7. If in 6. S 7. If 10. Ir 11. In 12. M	and Date the processing will be done to the start date in case of 6 Months option IP shall restart immediately after the convestors can avail this facility only oncome IP Pause facility will not be available the SIP Pause period is coinciding when it is the site of pause period is coinciding when it is the site of pause period is provided in the site of pause period shall be reproduced in the site of pause period shall be reproduced in the site of pause period shall be reproduced in the site of pause period shall be reproduced in the submitted for each SIP of the AMC reserves the right to terminate the case of discrepancies in the information case of discrepancies in the information case of ambiguity in the substant cannot cancel the SIP Pause of the ASSET Mutual Fund or the AMC -	d be received 10 days prior to egistration with Monthly freque 3 months or 6 months. Investigating the Pause End Date as incompletion of Pause period. If the in the tenure of the existing for the SIPs sourced/registered with the Top-Up facility, the SIP and is Rs.2,000/- and Top-up and s.3,000/ The transport of the IH Number or the Remandate, even if multiple SIPs the this facility or modify the continuous provided in the SIP Pause are SIP Pause Form, the AMC in conce registered. Mirae Asset Investment Mana or charges incurred by the inveses ponsibility.	the subsequent SIP date ncy only. or will need to mention the the 90th Day from the statthe SIP Tenure is completed in through MFU, Exchangenstalment amount post count is Rs.1,000/ If the perent SIPs. One Pause for ference Number mentioner are registered in the sami ditions of the SIP Pause Form and the details regeserves the right to reject gers (India) Pvt. Ltd., its restor arising out of delay control of the subset of the state of the subset	e SIP Pause Start Date rt date in case of 3 Mor ing during the SIP Pau e & Channel platforms a completion of pause per coause period is comple rm will not work for mu ed for the intended SIP e scheme. facility at its discretion. istered with the AMC, t the SIP Pause Form. egistrars and any other in technical aspects of re	and the SIP Pause of the option and Pause is a period, the SIP was the mandate is rejected after date of Top litiple SIPs registered which requires to be the details registered in service providers size registering the Pause	End Date. In case of Discrepancy in the se End Date will be the 180th Day from ill be auto terminated.
SE APPLICATION LEDGEMENT SLIP	Scheme Name and Plan	• • • • • • • • • • • • • • • • • • •	Pause Details			& Stamp of Collection Centre / ISC
APPLI		SIP Pause Period : Please	(✓) ○ 3 Months Perio	d or \bigcirc 6 Months F		
AUSE)		SIP Pause Start Date:	D M M Y Y			

SIP Pause End Date: D D M

APPLICATION FORM - MIRAE ASSET BANKING & PSU DEBT FUND (MABPDF)

(An open ended debt scheme predominantly investing in debt instruments of banks, Public Sector Undertakings, Public Financial Institutions and Municipal Bonds)

Offer for units of ₹ 10/- each during the New Fund Offer period and at NAV based prices upon re-opening. Scheme re-opens for continuous sale & re-purchase on and from 27/07/2020



MIRAE ASSET BANKING & PSU DEBT FUND (MABPDF

(An open ended debt scheme predominantly investing in debt instruments of banks, Public Sector Undertakings, Public Financial Institutions and Municipal Bonds) New Fund Offer open on: 08/07/2020 New Fund Offer closes on: 20/07/2020

Application No.:

MICR Code

This product is suitable for investors who are seeking*

■ Income over short to medium term

To generate Income / capital appreciation through predominantly investing in debt and money market instruments issued by Banks, Public Sector Undertakings (PSUs), Public Financial Institutions (PFIs) and Municipal Bonds.

*Investors should consult their financial advisers if they are not clear about the suitability of the product



Investors understand that their principal will be Moderate Risk

Name & Broker Code/ ARN/RIA Code	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.
EUIN Declaration: Declaration for "Execution Only the EUIN box has been intentionally left blank by meadvice of in-appropriateness, if any, provided by the feed/portfolio holdings/NAV etc. in respect of my/our	us as this transaction is executed with e employee/relationship manager/sal	out any interaction or advice b les person of the distributor/si	y the employee/relationsh ub broker. RIA/Declaration	ip manager/sales person of the above di on: "I/We hereby give you my/our cons	istributor/sub broker or notwithstanding the
garan et al.				g	
Please V Lumpsum Investment		Micro Applicati	on 🗌	SIP A	Application
TRANSACTION CHARGES (Please (- •	•		AN EVICTING INVESTOR IN	AUTUAL FUNDO
☐ I AM A FIRST TIME INVESTOR IN M Applicable transaction charges will be dec	lucted in case your distributor	OR has opted for such charge	ges. Upfront commis	AN EXISTING INVESTOR IN Nation shall be paid directly by the	
registered Distributor) based on the investor. 1. EXISTING UNIT HOLDER INFOR	or's assessment of various facto	ors including the services	rendered by the ARN	Holder.	
	WATION [Flease III III your			to Section 7 - Investment De	etans]
Folio No.		CKYC Identification	on No. (KIN)		
2. APPLICANT(S) NAME AND INFO	RMATION [Refer Instruction	on 2] If the 1t / Sole App	plicant is Minor, the	en please provide details of r	natural / legal guardian
1 st SOLE APPLICANT Mr. / Ms. / M/s.				PAN	
(Please write the name as per PAN Card)			Dle in	dicate if LIS Person or a resident t	for tax purpose / Resident of Canada
CKYC ID No. (KIN)			1 13 11		lo ^s (\$Default if not ✓)
GUARDIAN (In case 1st Applicant is a M	inor)			Relationshi	p with Minor (Please ✓)
Mr. / Ms. / M/s.				Mother	Father Legal Guardian
GUARDIAN CKYC ID No. (KIN)			KYC (Please ✓) ☐ Proof Attached	GUARDIAN PAN	
POA / Custodian Name:				KY	C (Please ✓) ☐ Proof Attached
POA / Custodian CKYC ID No. (KIN)				POA / Custodian PAN	
Contact Person for Corporate Investo	r: Name			Designation:	
3. FIRST APPLICANT AND KYC	DETAILS All fields ma	arked as '*' are Man	datory		
1st SOLE APPLICANT Individual of					& 11b - Refer Instruction No. 17
*Date of Birth/ Incorporation (Individual) (Non-individual)		of of Date of Birth (Plea (For minor applicant)			School Leaving Certi cate / Mark Sheet Others
(Please write the Date of birth as per Aadhaar C	Country of Birth /			·	
Incorporation: (Please write the Date of birth as per Aadhaar C	Incorporation:		lationality:	Gender	Male Female Other
Type: Resident Individual Sol	·	Trust Bank / Fls	Fils Pio	Society/AOP/BOI Minor t	hrough Guardian 🗌 NRI - NRC
HUF LLP Listed Company Priv	vate Company Public Ltd. Co	· · · ·	dicial Person Partr	· · · · · · · · · · · · · · · · · · ·	
a*. Occupation Details [Please tick (✓)]	Private Sector Business	Public Sector Retired	Government Se Retired	Proprietorship	Professional Housewife Others
b*. Politically Exposed Person (PEP) Stat	us (Also applicable for authorised	I signatories/Promoters/Ka	rta/Trustee/Whole time	Directors) I am PEP I am	Related to PEP Not Applicable
c*. Gross Annual Income (₹) [Please tick (✓)]	1-5 Lakhs	5-10 Lakhs	☐ 10-25 Lakhs	>25 Lakhs
d*. Net-worth (Mandatory for Non-Individu	uals) ₹		aso	on D D M M Y Y	Y Y (Not older than 1 year
e*. Non-Individual Investors involved/ any of the mentioned services		Exchange / Money Cha ending / Pawning	nger Services	Gaming/Gambling/Lottery/G	Casino Services
4. BANK ACCOUNT DETAILS - I	Mandatory [Refer Instruc	tion Nos. 3 & 4]			
Name of the Bank:					
Core Banking A/c No.			A/c. Type	Pls. (✓) ☐ NRE ☐ CURRENT	Γ ☐ SAVINGS ☐ NRO ☐ Othe
Branch Name:	Ad	Idress:			
Bank Branch City:	Sta	ate:		Pin C	ode

IFSC Code (Mandatory for Credit via NEFT/RTGS)

Please attach a cancelled cheque OR a clear photo copy of a cheque

5. JOINT APPLICANTS, IF ANY	AND THEIR KYC	DETAILS All f	elds marked as '*' a	re Mandatory		
Mode of Holding: Anyone or S	Survivor	Single	Joi	nt	(Please note that the Defaul	t option is Anyone or Survivor
2 nd APPLICANT Mr. / Ms. / M/s. (Nelease write the name as per PAN Card)	ot Applicable in cas	se of Minor Applicant)			Gender	Male Female Other
PAN Details		PIs	indicate if US Person or a	resident for tax purpos	se / Resident of Canada	No* (*Default if not ✓)
CKYC ID No. (KIN)			KYC P	s Proof Attac	ched Date of Birth (Mandate (As per PAN Card)	D D M M Y Y Y Y
Place of Birth		Country of Birth			Nationality:	
a*. Occupation Details [Please tick	(✓)]		Public Sector Retired	Government Service Agriculture		ofessional Housewife ners (Please specify)
b*. Politically Exposed Person (PEP) S	tatus			Not Applicable	_ · reprietere imp	
c*. Gross Annual Income (₹) [Pleased*. Net-worth ₹	e tick (✓)]	Below 1 Lakh	1-5 Lakhs as on D D M I	5-10 Lakhs	☐ 10-25 Lakhs ☐ >29 (Not older than 1 year)	5 Lakhs
Mode of Holding: Anyone or	Survivor	Single	☐ Jo	nt (Please note that the Default op	otion is Anyone or Survivor
3rd APPLICANT Mr. / Ms. / M/s. (N (Please write the name as per PAN Card)	ot Applicable in cas	se of Minor Applicant)			Gender	Male Female Other
PAN Details		Pls	indicate if US Person or a	resident for tax purpos	se / Resident of Canada	No* (*Default if not✓
CKYC ID No. (KIN)			KYC P	s 🕢 🗌 Proof Attac	ched Date of Birth (Mandate (As per PAN Card)	pry) D D M M Y Y Y Y
Place of Birth		Country of Birth			Nationality:	
a*. Occupation Details [Please tick	(~)]	Business		Government Service Agriculture		ofessional Housewife ners (Please specify)
b*. Politically Exposed Person (PEP) St c*. Gross Annual Income (₹) [Please				Not Applicable 5-10 Lakhs	☐ 10-25 Lakhs ☐ >2	5 Lakhs
d*. Net-worth ₹			as on D M I	Y Y Y Y	(Not older than 1 year)	
6a. MAILING ADDRESS [Please	provide your E-r	nail ID and Mobile	Number to help us ser	/e you better]		
Local Address of 1 st Applicant						
Tel. Off.		City		State	Pin Code	
			Resi.		Mobile	
E - Mail^^						
^^Please Use Block Letters. Investors pro	oviding email ID wo	ould mandatorily receiv	e all Communications, Sta	ement of Accounts and	d Abridged Annual Report through	e-mail only.
6b. Mandatory for NRI / FII Applie	cant [Please pro	vide Full Address.	P. O. Box No. may not	be sufficient. For C	Overseas Investors, Indian Ad	ddress is preferred]
Overseas Correspondence Address _						
			Third Doub Do	(Diagon attend	to (Thind Donty, Downsont Donlow	ation Formally
7. INVESTMENT AND PAYMENT	DETAILS (For o	complete informati		*	h 'Third Party Payment Declaranstructions No. 6.)	ation Form)
Scheme - Mirae Asset Ba	nking & PSI	U Debt Fund	Regular P	Croudh /Dof	(audt)	Dividend
(MABPDF) Payment Type [Please (✓)]	Self (Non-Third	Party Payment)	Third Party Pay		Div. Payout Div. Payout Fhird Party Payment Declaration F	Div. Reinvestment (Default
Cheque / DD / UTR No. & Date	Amount of C	Cheque / DD /	DD Charges,	Net Purchase	Drawn on Bank /	Pay-In Bank A/c No.
<u> </u>	RIGS/NEFI	in figures (Rs.)	if any	Amount	Branch	(For Cheque Only)
8. DEMAT ACCOUNT DETAILS - National Securities Depository Lim		s in Demat Mode - Ple			ned under section 3 matches as p	er the Depository Details.
DP Name	()		DP Na	•	(maia, Emilia (ODOL)	
DP ID I N	Benef. A/C No.		16 Digit	A/C No.		
Enclosures - Please (✓) ☐ Clie	nt Masters List (C	ML)	Transaction cum Holdi	ng Statement	☐ Delivery Instruction S	lip (DIS)
9. NOMINATION DETAILS [Mino	r / HUF / POA Ho	lder / Non Individu	als cannot Nominate -	Refer Instruction No	o. 9]	/
No. Nominee(s) Name	Date	of Birth	OR Name of the Guardia			of Nominee / Guardian
1	(in cas	e of Minor)	(in case of Minor)	- I I I I I I I I I I I I I I I I I I I	(Prefer	red but not Mandatory)
2						
3	D D M M	1 Y Y Y Y				

		ATCA & CRS DETAI											n FA	TCA & C	RS cl	lassifi	catio	n)							
	ART e ar		GIIN	nstitu	tions or	Dire	ct Repo	rting N	on Fina	cial Ent	ity (Ni	·Es)													
Financial institution					out you are so	onsored by	another ent	tv. please pro	ovide vour	sponsor's GIII	N above	and indicate v	vour spo	nsor's na	me belo	w									
	Direct reporting NFE ☐ [Please tick (✓)] Name of sponsoring entity:																								
GI	IN n	ot available [Please	tick (✓)1		Applied	for	□N	ot requi	red to an	ply for -	please	specify 2	diaits	s sub-cate	egory			Г	No	t obta	ined –	Non	-parti	icipat	tina F
	ART	_													-37								P	- -	
	1	Is the Entity a publi	icly traded	d com	pany		-	□ Vo	ne (If you	ologeo en	ocify any	, one stock	oveha	ngo on whi	ich tho	etock is	rogu	larly tr	adad)						
(that is, a company whose shares are regularly traded on an established securities market)				,	☐ Yes (If yes, please specify any one stock exchange on which the stock is regularly traded) Name of stock exchange:																				
2 Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market					Ye	es (If yes,	please spe	ecify nar	ne of the lis	sted co	mpany and	d one s	stock ex	chang	je on v	vhich	the sto	ck is re	gularly	y trade	ed)				
					et) Name of listed company:																				
								Nature of relation Subsidiary of the Listed Company or Controlled by a Listed Company																	
								Name	of stock e	exchange:															
	3	Is the Entity an acti	ve NFE									claration in)										
														, x	,										
								Nature of Business:										_							
								Please	e specify t	he sub-ca	tegory o	of Active NF	E	ı	Mentio	n code:	Refer	instru	ction	15(c)					
	4	Is the Entity a pass	ive NFE					Yes (If yes, please fill UBO declaration in the next section.)																	
								Nature	e of Busin	ess:															
								For	details	refer in	struct	ion No. 1	5.												
11a	a. D	ECLARATION FOR	ULTIMAT	E BEI	NEFICIA	LOV	WNERSI	IIP [UB	8O] (Ref	er instru	uction	No. 17)*													
		aration is not needed for C confirming ALL countries																							
Stat	emeń	and Auditor's Letter with re	equired details	s as me	ntioned in F	orm V	V8 BEN E.							•							•				
-). DE	TAILS OF ULTIMAT Name of UBO & Addres			dress Type		PAN/Tax			n space nent Type		intry of tax		Country			O Co				es / NO		% of b	enef	icial
	Identifi				ldentificat Equivalen	ion No./	Refer in	nstruction 15(d)	ı Re	esidency/ ermanent esidency*		citizensh			ndato		[i	please the I	attach (YC dgeme			teres			
infor that addi	matio applic tional	is Type: Residential or Bus n is not provided, it will be p ant has concealed the facts information as may be requ	resumed that s of beneficial uired at your e	t applica l owners end.	ant is the UE ship. I/We al	8O, wi so un	ith no decla dertake to	aration to s keep you	submit. In s informed ir	such case, n writing ab	MAMF/A out any o	MC reserve changes/mo	es the ri dificati	ight to reject on to the ab	t the ap ove info	plication ormation	n or rev n in fut	verse to ure and	he allo d also	otment o underta	of units, ake to pr	if subs ovide	sequer	ntly it is	
_		e NFE, please provide belo			•	tacn							ory deta	alls if the U	BO doe	es not n	ave a	PAN. (I	Keter I	Instruc	uon No.	16)			
		ny other Identification N Govt. ID. Driving Licence NREGA.			Passport,		Occup Nation		pe: Servic	e, Busines	s, Othe	rs				Date of									
Election ID, Govt. ID, Driving Licence NREGA Job Card, Others) City of Birth - Country of Birth Nationality: Father's Name: Mandatory if PAN is not available Gender: Male, Female, Other																									
1.	PAN:						Occup	ation Typ	oe:						Data of	f Birth:									
(ity o	f Birth:					Nation	ality:											1 =						
Country of Birth: Father				Father	s Name:						(Gende	r	Male		Fen	nale	Oth	ner						
2.	PAN:						Occup	ation Typ	oe:						D-4	f Birth:									
(ity o	f Birth:					Nation	ality:																	
(ount	ry of Birth:					Father	s Name:							Gende	r 🗀	Male		Fen	nale	Oth	ner			
3	PAN:						Occup	ation Typ	ne:																
		f Birth:					Nation							[Date of	f Birth:									
	-	ry of Birth:						s Name:						(Gende	r _	Male		Fen	nale	Oth	ner			
		al details to be filled by cont e US, where controlling per						sidency/	citizenship	/ Green Ca	ard in an	y country oth	ner thar	ı India.											

Application No.:

Cheque/DD should be Drawn in favour of the scheme "Mirae Asset Banking & PSU Debt Fund (MABPDF)"

-

(FOR INDIVIDUALS & NON-INDIVIDUALS) FATCA AND CRS DETAILS (Self Certification) (Refer instruction No. 15) FOR INDIVIDUALS: Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below. **FOR NON-INDIVIDUALS:** Is the "Entity" a tax resident of any country other than India? Yes (If Yes, please provide country/ies in which the entity is a resident for tax purpose and the associated Tax Identi cation No. below) 1st Applicant (Sole / Guardian / Non-Individual) 2nd Applicant 3rd Applicant Do you have any non-Indian Do you have any non-Indian Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality Country(ies) of Birth / Citizenship / Nationality Country(ies) of Birth / Citizenship / Nationality No Yes No Yes No Yes and Tax Residency and Tax Residency and Tax Residency Country of Birth / Country of Birth Country of Birth Incorporation Country Citizenship / Country Citizenship / Country Citizenship / Nationality Nationality Nationality Are you a US specified Yes Are you a US specified Yes No Are you a US specified Yes person? person? person? Please provide Tax Payer Id. Please provide Tax Payer Id. Please provide Tax Payer Id. For non-Individual investor in case, if you country of incorporation / Tax resistance in US, but you are not a specified US person then please mention exemption code Refer instruction 15(e)) Individual or Non-Individual investors fill this section Individual investor have to fill in below details in case of joint applicants if ticked Yes above Country: Country: Country: Tax Residency Tax Residency Tax Residency No.: No.: No.: Status: 1 Status: 1 Status: 1 Type: Type: Type: Country: Country: Country: Tax Residency Tax Residency Tax Residency No.: No.: Status: 2 Status: 2 Status: 2 Type: Type: Type: Country: Country: Country: Tax Residency Tax Residency Tax Residency No.: No.: No.: Status: 3 Status: 3 Status: 3 Type: Type: Type: **Address Type Address Type Address Type** (Address Type: Residential or Business (default) / Residential / Business / Registered Office) (For address mentioned in form / existing address appearing in folio) In case of applications with POA, the POA holder should fill separate form to provide the above details mandatorily. DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(s) [Refer Instructions 2(f) of KIM] To The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) Having read and understood the contents of the SID of the Scheme applied for (Including the scheme(s) available during the New Fund Offer period); I/We hereby apply for units of the said such scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. (B) I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. (C) Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of Mirae Asset Mutual Fund. (D) The information given in / with this application form is true and correct and further agrees to furnish additional information sought by Mirae Asset Investment Managers (India) Private Limited* (AMC) / Fund and undertake to update the information/details with the AMC / Fund/Registrars and Transfer Agent (RTA) from time to time. I/We hereby confirm that the AMC/Fund shall have the right to share my information and other details with the regulatory and government authorities as and when needed. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions. (E) I/We further declare that "The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. (F) I/We hereby confirm that I/We have not been offered/communicated any indicative portfolio and/ or any indicative yield by the Fund/AMC/its distributor for this investment. I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. (G) Applicable to Investors availing the online facility: I/We have read, understood and shall be bound by the terms & conditions of the PIN agreement available on the AMC website for transacting online. (HI) RIA: I/We hereby agree to consent the AMC to share my transaction details to the registered investment advisor (RIA) through the registrar or otherwise. (I) Applicable to Foreign Resident's Residing in India: -I/We confirm that I/We satisfy the Residency test as prescribed under FEMA provisions. I/We further declare that I/We am/are "Person Resident in India" and are allowed to Investinct the Scheme as per the said FEMA regulations and other applicable laws and regulations. (J) I //We confirm that I am //We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I //We shall notify the AMC, in which event the AMC reserves the right to receem my / our investments in the Scheme(s). (K) FATCA/CRS Certification: I //We have understood the information requirements of this Form (read along with the FATCA& CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I //We also confirm that the information provided by me / us on this Form is true, correct, and complete. I //We also confirm that the information is provided, it is understood the FATCA& CRS Terms and Conditions and hereby accept the same. In case the above information is not provided, it has concealed the facts of beneficial owner, with no declaration to submit. In such case, the concerned SEBI registered intermediary reserves the alphication or reverse the alphication or the application or reverse the alphication or the application or the a Aadhaar: I/We hereby voluntarily submit Aadhar card to the Fund/AMC for updating the same in my folio. "Securities and Exchange Board of India ("SEBI") vide its letter dated November 20, 2019 bearing reference no. SEBI/HO/IMD/DF5/OW/P/2019/30719/1 ("SEBI NOC") had granted their non-objection to transfer the AMC Business from 'Mirae Asset Global Investments (India) Pvt Ltd' to 'Mirae Asset Investment Managers (India) Private Limited'. Kindly refer notice cum addendum no. AD/28/2019 dated November 25, 2019 for further det For Lumpsum 'OR' SIP ACKNOWLEDGMENT SLIF Received Application from Mr. / Ms. / M/s. _ as per details below: **Scheme Name and Plan** Date & Stamp of Collection Centre / ISC **Payment Details** Mirae Asset Banking & PSU Debt Fund

Amount (Rs)_

Dated Bank & Branch_

Cheque / DD No .:

(MABPDF)

MIRAE ASSET BANKING & PSU DEBT FUND (MABPDF)

SYSTEMATIC INVESTMENT PLAN (SIP)

Registration Cum Mandate Form with Goal SIP & Top-Up Facility

(An open ended debt scheme predominantly investing in debt instruments of

Application No.:

Name & Broker Code/ Agent ARN Code Sub Agent Code EUIN* Internal Code for AMC ISC Date Tin Referen EUIN Declaration: Declaration for "Execution Only" Transaction (where Employee Unique Identification Number-EUIN* box is left blank). Please refer instruction 12 of KIM for compiled details on EUIN, I/We the EUIN box has been intentionally left blank by makes as this transaction is executed without any interaction or advice by the employee-glacial promisens. If any novided by the employee-prefered instruction and you may for consense of the above distribution broker RIAD-declarations. TWe hereby give you mayor consense to share-provide the feed portfolio holdings/NAV etc. In respect of my/our investments under Dece Plan of all Schemes managed by you, to the above mentioned SEB-Registered investment Adviser RIAL. Signature of 1" Applicant / Guardian / Authorised Signatory / PoA / Kerta Signature of 1" Applicant / Guardian / Authorised Signatory / PoA / Kerta Flease Please Please Check the Minimum Amount Criteria for the scheme applied for. [Refer Instruction 17 Overleaf]). Ame of 1" Unit Holder Signature of 1" Applicant / Guardian / Authorised Signatory / PoA / Applicant / Guardian / Authorised Signatory / PoA / Applicant / Guardian / Authorised Signatory / PoA / Applicant / Guardian / Authorised Signatory / PoA / Applicant / Guardian / Authorised Signatory / PoA / Applicant / Guardian / Authorised Signatory / PoA / Applicant / Guardian / Authorised Signatory / PoA / Applicant / Guardian / Authorised Signatory / PoA / Applicant / Guardian / Authorised Signatory / PoA / Applicant / Guardian / Authorised Signatory / PoA / Applicant / Guardian / Authorised Signatory / PoA / Applicant / Guardian / Authorised Signatory / PoA / Applicant / Guardian / Authorised Signatory / PoA / Applicant / Guardian / Authorised Signatory / PoA / Applicant / Guardian / Authorised Signatory / PoA / Applicant / Guardian / Authorised Signatory / PoA / Applicant / Guardian / Authorised Signatory / PoA / Applicant / Guardian / Autho	e hereby confir rotwithstanche transaction Signatory / PoA tment (Plea
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I. EXISTING UNIT HOLDER INFORMATION (The details in our records under the folio number mentioned will apply for this application.) ame of 1" Unit Holder Folio No. Polio No. Polio No. Polio No. Polio No. Polio No. Polio No. Polio No. Polio No. Polio No. Polio No. Polio No. Polio No. Polio No. Polio	scontinue you ng (Default
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anch Name & Address City	
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CLARATION & SIGNATURE: To The Trustees, Mirae Asset Mutual Fund - Having read and understood the contents of the SID of the Scheme applied for (Including the scheme(s) available during the New Fund Offer period); I/We hereby an	
ch scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme & conditions of SIP enrolment and registration through NACH/ECS or Direct Debit (Auto Debit). I/We also agree that if the transaction is del assons of incomplete or incorrect or any other operational reasons, I/We would not hold Mirae Asset Investment Managers (India) Private Limited*, their appointed service providers or representatives responsible. I/We also undertake to kee	ep sufficient fun
nk account on the date of execution of the said standing instructions. "The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing trual Funds from amongst which the Scheme is being recommended to me/us". "I/We have not made any other Micro application [including Lumpsum + SIPs] which together with the current application would result in ag	g Schemes of ggregate inve
ceeding ₹50,000 in a rolling 12 month period or in a financial year". Aadhaar: I/We hereby voluntarily submit Aadhaar card to the Fund/AMC for updating my address in my folio. [] (Please tick, if enclosed) ecurities and Exchange Board of India ("SEBI") vide its letter dated November20, 2019 bearing reference no. SEBI/HO/IMD/DF5/OW/P/2019/30719/1 ("SEBI INOC") had granted their non-objection to transfer the AMC Business from 'Mirae As	sset Global Inve
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GENERAL GUIDELINES

This mandate registration form will be submitted through National Automated Clearing House (NACH).

- is mandate registration form will be submitted through National Automated Cleaning House (NACH).

 This facility is offered to investors having Bank accounts in select banks mentioned in the link http://www.npci.org.in/The Banks in the list may be modified/updated/changed/removed at any time in future entirely at the discortion of National Payments Corporation of India (NPCI) without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. The investor consents to agree to abide by the terms and conditions of NACH facility of NPCI. By signing this Systematic Investment Plan Application form, the Applicant(s) hereby authorises Mirae Asset Investment Managers (India) Private Limited, Investment manager to Mirae Asset Mutual Fund acting through their authorised service providers to debit the mentioned Bank A/c provided by NACH / ECS (Auto Debit Clearing / Direct Debit) Facility or any other facility for collection of \$10 payments. SIP payments.
- Delease submit the NACH mandate registration form not less than 25 business days before the first installment date. The installment start date shall not be later than 100 calendar days from the date of application submission date. Applicant acknowledges that incomplete or ambiguous forms in any respect will not be processed & AMC reserves the right to reject such
- applications.

 Investor shall have the option of choosing any date of the month as the SIP date from 01st to 28th except the last three calendar dates 29th, 30th and 31st. If SIP debit date is not mentioned default date would be considered as 5th of every month. Even If the Investor selects or mentions the SIP dates as 29th, 30th or 31st, the default SIP date would be considered as 05th of every
- month only.

 Investor/Unitholder(s) should preferably provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate may be updated in the folio. All future communication whatsoever would be, thereafter, may be sent to the updated mobile number and email id.
- updated mobile number and emailid.

 Investor/Uniholder(s) should submit original Cancelled Cheque (or a copy) along with mandate form with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unitholder(s) cheque/bank account details are subject to third party verification. Investors are required to ensure adequate funds in their bank account on the date designated SIP date. Mirae Asset Mutual Fund (MAMF) through its service provider will endeavor to debit the investor bank account on or after the said date.
- Applicant acknowledges that Mirae Asset Mutual Fund will not be liable in any manner whatsoever, for any transaction failures due to rejection by the investor's bank/branch, which is due to technical reasons or due to delay in registration of the NACH mandate. Further, Applicant is aware that he/she has to sufficiently keep its bank account funder or such non-debited transactions; which upon confirmation may be presented anytime to its bank for stipulated SIP debits. Further, the Applicant also confirms that it will not hold Mirae Asset Mutual Fund and/or its service providers responsible if the transaction is delayed or not effected by its Bank or if debited in advance on an Pre-Debit note or after the specific date due to various reasons or for any bank charges debited by its banker in its designated account towards NACH Registration / Cancellation/Rejections, NACH
- Debit/Auto Debit/Local Holidays.

 Mirae Asset Mutual Fund reserves the right to reverse allotments in case the debit is not paid by the bank for any reason whatsoever. Further, Mirae Asset Mutual Fund shall not be responsible and liable for any damages/compensation for any loss, damage etc., incurred by the investor. The Applicant is aware and assumes the entire risk of using the Auto Debit facility of
- damage etc., incurred by the investor. The Applicant is aware and assumes the entire risk of using the Auto Debit facility of NACH and takes full responsibility for the same.

 9. Mirae Asset Mutual Fund / Mirae Asset Trustee Co. Pvt. Ltd. / Mirae Asset Investment Managers (India) Private Limited reserves the right to discontinue or modify this facility at any time in future on a prospective basis. This right also includes the right to discontinue this facility in case Direct Debits through NACH routes are continuously rejected by the investor's bank for any reasons. Mirae Asset Mutual Fund reserves the right to reject any application without assigning any reason thereof.

 10. Kindly note that any change in original SIP enrollment details such as SIP Date, Frequency, Tenure will be considered as fresh application and will be subject to applicable load structure and other terms at the time of application. Any change in any
- credential of bank particulars or transaction modification, will be treated as fresh Instructions, and applicants will have to use separate form for such changes/modifications.

 11. Requests for any changes/cancellation in the NACH Bank Mandate request should be submitted at least 15 Business days in
- Investments made through Auto Debit mode are subject to realization of funds from investor bank accounts and the NAV guidelines will be applicable for the transactions which are connected with realization of funds.
 In case any payment instruction for SIP installment is dishonored by the Bankers for three consecutive times for the reason
- Account Closed or In sufficient balance or any technical reasons as provided by NPCI / Aggregator.

 14. The facility will be automatically terminated upon receipt of intimation of death of the Unitholder. Further, fresh registrations will be required for all Minor Investors who have turned Major, along with fresh bank account credentials. MAMF reserves the right
- to reject all such transactions through old registrations.

 15. Each SIP installment will be treated as a fresh transaction and shall be subject to applicable exit load structure prevailing on the date of each investment.

 16. Third Party Cheque / Funds Transfer: The payment towards investment can happen only from the bank account of 1st holder.
- I hird Party Cheque / Funds I ransfer: The payment towards investment can happen only from the bank account of 1st holder and therefore the 1st holder needs to be one of the holder in the bank account. As per the recent guideline, Mirae Asset Mutual Fund has decided to restrict the acceptance of Third Party payments. Accordingly Third Party payment instruments for subscriptions / investments shall not be accepted by the AMC except in the following cases:

 Payment by Parents / Grand-Parents / related persons on behalf of a milinor in consideration of natural love and affection or as gift for a value not exceeding Rs. 50,000/- (each regular purchase or per SIP installment);

 Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions;

 Custodian on behalf of an Fill or a Client.

- In Joustoulant Ordental or all Print actients.
 For complete details, please refer the section on Third Party cheques given in the General Instructions.
 Please refer the "Minimum Application/Number of units" mentioned in the KIM under the section "Applicable to all schemes" for minimum subscription amount and frequency. Please take note of the Minimum Amount Criteria as under:

	Schemes	Monthly / Quarterly Frequency				
Mirae Asset Banking & PSU Debt Fund		₹ 1000 - 5 Installments - Multiples of ₹ 1 thereafter				
	All Other Schemes	₹ 1000 - 5 Installments - Multiples of ₹ 1 thereafter				

Please note Default Option is Growth. In case of Dividend, default option is Dividend reinvestment. In case of ambiguity at Plan

- level, it will always be under Direct Plan.

 18. The transactions are liable to rejection incase Investor has Multiple Auto Debit Mandate at folio level. Separate forms will be required to be submitted to avail of SIP in separate schemes / plans / date. A single form cannot be used for different schemes
- Employee Unique Identification Number (EUIN); SEBI has made it compulsory for every employee / relationship manager / sales person of the distributor of mutual fund products to quote the EUIN obtained by him / her from AMFI in the ApplicationForm. EUIN, particularly in advisory transactions, would assist in addressing any instance of misspelling even if the Application-Forn. E-UIN, particularly in advisory transactions, would assist in addressing any instance of misspelling even it the employee / fetalitionship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote E-UIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the E-UIN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the E-UIN box may be left blank. In this case, you are required to tick mark the box provided above the signature box. However, in case of any exceptional cases where there is no interaction by the employee/sales person / relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the requisite declaration separately signed by the investor.

- 20. Mirae Asset Mutual Fund/Sponsor Bank/NPCI are not liable for the bank charges, if any debited from investor's bank account
- by the destination bank, on account of payment through NACH.

 21. For further details of the Scheme features like minimum amounts, risk factors etc, investors should, before investment, refer to the Scheme Information Document(s), Key Information Memorandum and Addenda issued available at any of the Investor Service Centers or distributors or from the website www.miraeassetmf.co.in

Service Centers or distributors or from the website www.miraeassetmf.co.in

22. Additional for MICRO Systematic Investment Plans (MICRO SIPs)

As per SEBI guidelines, Lumpsum and SIP investments in mutual funds up to Rs.50,000 per year, per investor, per mutual fund shall be exempted from the requirement of PAN subject to other operational guidelines. Any investment, lumpsum or through Systematic Investment Plans (SIPs) by investors, where aggregate of investments finistalments in a rolling 12 months period, does not exceed Rs. 50,000/- per investor will be treated as Micro investments for the above purpose. Micro investments will be accepted subject to PAN 'exemption in KYC process. This exemption will be applicable ONLY to investments by individuals (not NR1 & PIOs), Minors and Sole proprietary firms. HUFs and other categories will not be eligible for Micro Investments. The exemption is applicable to joint holders also. In case under MICRO SIP, the first SIP Instalment increased as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will cease for future instalments. No refund to be made for the units already allotted. However redemptions will be allowed based on the submission of normal prescribed Redemption Transaction Silo, Investors may submit any one of the Photo Identification documents (olease refer prescribed Redemption Transaction Slip. Investors may submit any one of the Photo Identification documents (please refer paragraph titled PAN under the General instructions) along with KYC form and proof of address (self-attested). No separate address predicts proof is required if Photo ID with address mentioned on it is submitted. The photo identification comments have to be current and valid and also to be either self-attested or attested by ARN holder (AMFI Registered Distributor). All the applicants

including second and third applicant (if any) is mandated to submit any of the above documents.

23. Terms & Conditions - SIP Top-up:

SIP Top-Up' is a facility which will enable investors to increase the amount of SIP installments at pre-defined frequency by a fixed amount during the tenure of SIP. The features, terms and conditions for availing the Top-Up' facility shall be as follows: SDF Top-Up facility can be availed at half yearly and yearly intervals. In case the Top-Up frequency is not specified, Default will be considered as yearly frequency.

Minimum Top-Up amount would be Rs. 500/- & in multiples of Re. 1/- thereafter for all the schemes of the Fund that offer SIP-

- In case the investor does not specify Top-Up amount, Rs. 500/- will be considered as the Top-Up amount and the request will be processed accordingly.

 SIP Top-up facility can be started after minimum 6 months from the date of 1st SIP for both New and Existing SIP Investors. If
- the end-date of the Top-up facility is not mentioned the Top-up facility will be continued up till the tenure of the SIP. For example, if the SIP is registered up till 2099, and the end date of the Top-up facility is not mentioned; then the Top-up will continue till 2099.
 SIP top-up facility is currently available only for SIP registration and installment payments made directly with the fund and
- through modes like NACH/Auto Debit mode. SIP Top up facility is currently not available for SIP registration and installment being made by submission of Post-dated cheques (PDCs) and where SIP is registered and installments are sent through Mutual Fund billity (MFU). NSE MF Il system of NSE or SIP SES Star MF palatform of SSC or any other palatforms of these stock exchanges or Channel partners, Mirae Asset Online SIP (I-SIP). As & When relevant systems are put in place, this facility will
- exchanges or Channel partners, Mirae Asset Online SIP (FSIP). As a writer trelevant systems are pour prace, una raceing mine automatically offered.

 The Top-up details cannot be modified once enrolled. In order to make any changes, the investor must cancel the existing SIP and enroll for a fresh SIP with Top-up option.

 In case the SIP Top up is cancelled the SIP will continue to be processed with the last topped up amount till the SIP end date-SIP Top Up facility can be availed by Existing Investors who have already registered any SIP with the fund, after a gap of 6 months from the date of submission of such Top Up application request and after the subsequent cycle date SIP has been processed.
- All other conditions generally applicable for SIP shall also be applicable for SIP top-up facility, or Example if for an Existing SIP, the First SIP date is 15th of each Month from Jan 2016; and the Top Up application request is submitted on 22nd Feb, 2018. The Next SIP date will be 15th of March, 2018; therefore the Top Up will start after 6 Months from 15th of September, 2018
- Top-Up facility would be available to all existing and new SIP enrolments. Existing investors who have enrolled for SIP are also eligible to avail Top-Up facility and will be required to submit 'Systematic Investment Plan (SIP) with Top-up Facility' at least 25-30 calendar days prior to the Top-Up start month. An Illustration: The Top-Up facility will work as follows

Details of SIP registered	Details of Top-up opted for
 Fixed SIP Installment amount: ₹ 5,000/- SIP Period: 01-April-2019 till 31-March-2022 (3 years) SIP Date: 1st of every month (36 installments) 	Example: Top-Up Amount: Rs. 1,000/- Top-Up Frequency: Every 6 months

Based on above details, SIP Installments shall be as follows:

Installment No(s).	SIP Installment (in ₹) (A)	Top-Up amount (in ₹) (B)	Monthly SIP Installment amount after Top-up (in ₹) (A+B)
1 to 6	5,000	NA	5,000
7 to 12	5,000	1,000	6,000
13 to 18	6,000	1,000	7,000
19 to 24	7,000	1,000	8,000
25 to 30	8,000	1,000	9,000
31 to 36	9,000	1,000	10,000*
			*(At-least amount to be filled on NACH Mandate)

24. Terms & Conditions - Goal Based SIP

- SIP application can have only one Goal assigned. Investors will be required to submit separate application forms for each Goal.
- Units will not be automatically redeemed upon achievement of Goal and the SIP shall continue till the term of the SIP, even after the crossing of the Goal Amount. Further, Units will be redeemed as per First In First Out basis for any redemption
- Goal Amount & type of Goal is mandatory for each Goal SIP Application. Default option will be Retirement Planning where no Goal is ticked. If no Goal amount is mentioned on the SIP application in section 2a., then the SIP will be registered as a regular SIP & not as Goal SIP.
- SIP & not as Goal SIP.

 Goal SIP facility is currently not available for SIP registered/submitted through Post-dated cheques (PDCs), OR through
 Mutual und Utility (MFU), OR through MFSS system if NSE or BSE Star MF platform of BSE or any other platforms of these
 stock exchanges or Channel partners or Mirae Asset online SIP (I-SIP). As & when relevant systems are put in place, this
 facility will be automatically offered on respective platforms.
 Investors may kindly note that the status of Goal sip cannot be changed once registered. Investors will have a choice to
 discontinue the Goal SIP, with a prior notice if 15 days.

 All other conditions generally applicable for SIP shall also be applicable for Goal SIP.

Instructions to fill Mandate:

- UMRN To be left blank
- Date in DD/MM/YYYY format
- Sponsor Bank code to be left blank for office use only.

 Utility Code: Unique code of the entity to whom mandate is being given To be provided by the entity.
- Name of the entity to whom the mandate is being given. Account type - SB/CA/CC/SB-NRE/SB-NRO/OTHER
- Tick Select your appropriate Action
- a. Create For New Mandate
- b. Modify For Changes / Amendment on existing Mandate c. Cancel For cancelling the existing registered Mandate
- 8. Your Bank Account Number for debiting the amount.
- Name of your bank and branch.
 Your Bank branch IFSC code OR
- 11. Your Bank branch MICR code

- 12. Amount in words
- 13. Amount in figures
- 14. Frequency at which the debit should happen.15. Whether the amount is fixed or variable.
- 16.Reference 1: Any details requested by the entity to whom the mandate is being given 17.Reference 2: Any details requested by the entity to whom the mandate is being given.
- 18. Your phone number
- 19. Your email-id
- 20. Period for which the debit mandate is valid a. Start date
- b. End date
- c. Or until cancelled
 21. Signatures of the account holder as per holding pattern in bank records.
- 22. Name of the account holder

Please read the Key Information Memorandum (KIM) and the terms of the Scheme Information Document (SID) and Statement of Additional Information (SAI) of the Scheme carefully before investing / filing the application form. All investors / applicants are deemed to have read, understood and accepted the terms, subject to which the offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment.

(a) The application form should be completed in ENGLISH in BLOCK LETTERS only. CAF complete in all respects, may be submitted at the designated Investor Services Centers (ISC)/Official Point of acceptance. (b) Investors must write the Application Form number/Folio number on the reverse of the cheques and bank drafts accompanying the CAF. (c) Please strike out any section that is not applicable. Any cancellation and modification on any of the mandatory information should be countersigned. (d) Please refer to the checklist at the end of these notes to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and/or rejection of your application. (e) If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application/transaction slip duly signed by investor(s). (f) Applications incomplete in any respect (other than mentioned above) will be liable to be rejected.

- Applicant information
 (a) Name and address shall be given in full without any abbreviations. In case the Investor is an NRI/FII, an overseas address must be provided (mandatory). A local address if available should also be mentioned in the CAF. (b) Name of the guardian must be mentioned if the investments are being made on behalf of a minor. Guardian of the minor must be either a natural guardian or a Court appointed guardian. Date of birth is mandatory for minors and has to be supported with Age proof.
- (c) Name of the contact person, e-mail and telephone number should be mentioned in case of investments by a Company. Body Corporate, Trust, Partnership, Society, Fil and other eligible non-individual applicants. Any change in the status of any Authorized Signatory should be promptly intimated to the AMC. Incomplete application forms are liable to be rejected.

(d) KYC Requirements and Details:

Implementation of Central KYC (CKYC): The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC cords in digital form.

Non Individual Investors:

CKYC is currently not applicable for Non-Individual Investors. All new Non Individual Investors will continue with the old KRA KYC form. Details of net worth are mandatory for Non Individual applicants. Details of net worth shall be of a date which is within one year of the application. Non Individual Applicants, not being a company that is listed on any recognized stock exchange or is a subsidiary of such listed or is controlled by such listed Company, are also required to fill in details of ultimate beneficial ownership in section 11(a) and 11(b) of the common application Form.

Individual Investors:

- Individual Investors:

 (j) New Individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund. (ii) If any new individual investor uses the old KRAKYC form, then such investor will be required to either fill the new CKYC form or provide the missing/additional information using the Supplementary CKYC form. (iii) Investors who have already completed CKYC and have a KYC Identification Number (KIN) from the CKYC platform can invest in schemes of the Fund quoting their designated KIN issued by CKYC on the application from (14 digits for normal accounts and 15 digits for simplified and small accounts). Further, in case the investor's PAN is not updated in CKYC system, a self-certified copy of PAN Card shall be mandatory. Further, the AMC/ Mutual Fund shall use the KIN of the investors to self-certified copy of PAN Card shall be mandatory. Further, the AMC/ Mutual Fund shall use the KIN of the investors to download the KYC information from CKYC and update its records as and when required. The CKYC mand Supplementary CKYC form for individual investors and common application form are available on our website. Currently there is no impact on the Existing Investors who have done the SEBI KYC (KYC thru 5 KRAs, CVL, NDML, DOTEX, KARVY & CAMS). They can continue to invest as it is in any schemes of any Mutual Fund; Existing Investors who wishes to hoosed themselves on the CKYC platform will need to again do the entire KYC process just like New Investor and get the KIN which can be used across. (e) Rejection: In case of non-compliance of any C-KYC requirements, Applications shall liable to be rejected without any intimation to the applications. Any Change in Address for all KYC compliant Investors has to be routed through KRA and that direct application to AMC will be not processed/rejected. In case if the applications are rejected after detailed scrutiny and available in either at the collection point itself or subsequently with back office of the penisters for any exagen investors can
- verification, either at the collection point itself or subsequently by the back office of the registrars for any reason, investors can contact the nearest Investor Service Centre or write to the Registrars, Ms. Karvy Fintech Pvt. Ltd. or send an email to customercare@miraeasset.com.
- customercare@mineaesset.com.

 (i) All the applicants must sign in original on the application form. Signatures should be in English or in any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate. In case of an HUF, the Karta will sign on behalf of the HUF.

 (ii) In case the application is under a power of Attorney (POA), a duly certified copy thereof duly notarized should be submitted with the application. The POA document should contain the signature of both the applicant and the constituted Attorney. (iii) Applications made by a Limited Company or a Body Corporate or a registered Society or Trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the MOA and AOAor Trust deed/Bye laws/Partnership deed, whichever is applicable. Refer to document check list.

3. Bank Account Details:

It is mandatory for the Sole/First Applicant to mention his/her bank account number in the CAF. CAF received without the relevant bank details will be rejected. The AMC may provide direct credit facility with the banks as may be available from time to time. Investor(s) are requested to note that for all Change of Bank details (COB) the investors must submit in original any one of the following documents of the new bank account:

a. Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. b. Self-attested copy of bank statement. c. Bank passbook with current entries not older than 3 months. d. Bank Letter duly signed by branch manager/authorized personnel. The AMC may also collect proof of Old Bank details while effecting the Change of Bank "Mandate. There shall be a cooling period of 10 calendar days for validation and registration of new bank account. In case of receipt of redemption request during this cooling period, the validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 working days to the new bank account; however, the AMC reserves the right to process the redemption request in the old bank mandate, if the credentials of the new bank mandate cannot be authenticated. Any COB accompanied with any other transaction is liable to be rejected. If unit holder(s) provide a new and unregistered bank mandate or a change of bank mandate request with specific redemption/dividend payment request (with or without necessary supporting documents) such bank account may not be considered for payment or redemption/dividend proceeds, or the Fund may withheld the payment for upto 10 calendar days to ensure validation of new bank mandate mentioned.

 Indian Financial System Code (IFSC): Investors are requested to mention the IFSC while submitting any bank details
updation request to help facilitate the payouts seamlessly through the electronic route. IFSC is an 11 digit number given by the banks on the cheques

4. Multiple Bank Accounts Registration Facility:
The unitholder may register more than one bank account through the 'Multiple Bank Accounts Registration Facility', to receive redemption/dividend proceeds. The unitholder may choose to receive the proceeds in any of the bank accounts, the details of which will be registered under the folio. For the purpose of registration of bank account(s), the investors must submit in original any one of the following documents of the new bank account:

(a) Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the

doce of the cheque. (b) Self-attested copy of bank statement. (c) Bank passbook with current entries not older than 3 months. (d) Bank Letter duly signed by Branch Manager/Authorized personnel. If photocopies of the above stated documents are submitted, investor must produce the original for verification at the official point of acceptance of transaction. The original shall be returned to the investor over the counter upon verification. If the originals are not produced for verification, then the photocopies submitted should be attested in original by the Branch Manager or Authorised personnel of the Bank.

5. Direct Credit of Redemption/Dividend Proceeds:

Investors can opt for direct credit of the redemption proceeds to their bank accounts (Direct Credit / RTGS / NEFT). The AMC / MF reserve the right to use any other mode of payment as deemed appropriate, however the preferred mode will always be NEFT/RTGS

6. Investment Details:

- a) Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at the local Mirae Asset Mutual Fund (MAMF)/AMC office or Authorised Collection Centre(s).

 b) Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque
- b) Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque should be drawn in favor of respective scheme name. Non MICR/ Outstation Cheques/Money Orders/Post Dated Cheques or Cash is not permitted. Investors residing in Centres, where the Investors Service Centres (ISCs)/Authorised Collection Centre(s) of MAMF are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing at places which are not covered by our offices/authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India. Please refer SAI for complete details on D.D. charges. c) In case the payment is made through Indian Rupee draft purchased abroad from FCNR or NRE A/C, Account Debit certificate from the Bank issuing the draft, confirming the debit should be submitted.

For subscription made by NRE/FCNR Account chaques, the CAF must be accompanied with a photocopy of the chaque or Account debit Letter/certificate from the bankers. FIRC certificate is required to be submitted evidencing source of funds through Non Domestic Account. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s). In case the source of funds through Non Domestic Account is not validated/provided, AMC will not be in a position to repatriate redemption proceeds.

d) Applicants should indicate the Option (Dividend/Growth) for which the application is made. In absence of information the request would be processed under the default option as mentioned in the SID/SAI of the relevant scheme.

For Direct Investments, please mention "Direct" in the column "Broker/Agent Code".

- Third Party Cheque/Funds Transfer will not be allowed for Investment subscriptions (lumpsum/SIP) except in the following cases. Installments of SIPs registered before November 15, 2010 will not be affected (refer Addendum dated November 12, 2010):
- Payment by Parents/Grand-Parents/related persons on behalf of a minor in consideration of natural love and
 affection or as gift for a value not exceeding Rs. 50,000/- (each regular purchase or per SIP installment). However this
 restriction will not be applicable for payment made by a guardian whose name is registered in the records of Mutual Fund in that folio:
- Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions:

Custodian on behalf of an FII or a Client. Options Available: Dividend and Growth Default Option: Growth; and under Dividend Option, Default option:

Dividend Reinvestment. Investors may please note, that Dividend may be declared by the Trustee, subject to the availability of distributable surplus as per the Regulations. Dividend Reinvestment can be availed at Daily, Weekly (Record date: Wednesday) & Monthly basis (Record date: 24th of every month). Kindly read the SID for frequency availability in respective scheme applied for.

Communication:

The investor whose transaction has been accepted by the MAMF shall receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement ("CAS") shall be issued in line with the

- following procedure:

 1. Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the first holder and pattern of holding.

- Institutional and pattern of holding.

 2. The CAS shall be generated on a monthly basis and shall be issued on or before 10th of the immediately succeeding month to the unit holder(s) in whose folio(s) transaction(s) has/have taken place during the month.

 3. In case there is no transaction in any of the mutual fund folios then CAS detailing holding of investments across all schemes of all Mutual Funds will be issued on half yearly basis [at the end of every six months (i.e. September/ March)]

 4. Investors having MF investments and holding securities in Demat account shall receive a Consolidated Account Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by carpil (behicked prode.)
- email/physical mode.

 5. Investors having MF investments and not having Demat account shall receive a CAS from the MF Industry containing 5. Investors having MF investments and not having Demat account shall receive a CAS from the MF Industry containing details of transactions across all Mutual Fund schemes by email / physical mode. The word 'transaction' shall include purchase, redemption, switch, dividend payout, dividend reinvestment, SIP, systematic withdrawal plan, and systematic transfer plan and bonus transactions. CAS shall not be received by the Unit holders for the folio(s) wherein the PAN details are not updated. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN. For Micro SIP and Sikkim based investors whose PAN details are not mandatorily required to be updated Account Statement will be dispatched by MAMF for each calendar month on or before 10th of the immediately succeeding month. In case of a specific request received from the Unit holders, MAMF will provide the account statement to the investors within 5 Business Days from the receipt of such request. Annual Reports or other information etc. may be sent to unit holders be applied from the surface of the provided of the provided from the statement of the provided the account statement to the investors within 5 Business Days from the receipt of such request. Annual Reports or other information etc. may be investors within 5 Business Days from the receipt of such request. Annual Reports or other information etc... may be sent to unit holders by email. Investors can choose to receive e-mail communication from us in lieu of printed documents, when a unit holder has communicated his/her email address and has provided consent for sending communication only via e-mail. Investor(s) who have provided their email address in the application from or any subsequent communication in any of the foliobelonging to the investor, Electronic Mail (email) shall be treated as a default mode for sending various statutory communications including Abridged Annual Report to the investor. However, the unit holder always has the right to request a physical copy of any statutory communication and the AMC will arrange for the same to be sent to the unit holder. The AMC/Mutual Fund/Registrars & Transfer agents are not responsible for the email not reaching the investor and for all consequences thereof. The investor needs to intimate the Fund/its transfer agents about any changes in the email address from time to time. email address from time to time.

Online Transactions/Personal Identification Number (PIN):

This facility (transact@ease) enables Investors to transact on the website of the Fund which is https://transact.miraeassetmf.co.in/investor.This facility can be availed by all KYC Compliant Investors (Fresh/Existing) using their e-mail Address and Mobile Number. The Units can be transacted only in the Physical mode.

Nomination Details:

A Unit Holder in the scheme maybe allowed to nominate upto a maximum of three nominees. The nomination will be on a proportionate basis and investor may specify the percentage for each nominee in the event of his/her demise. If the percentage is not specified, it will be equal percentage for the nominees by default. Provision for mentioning the details of the nominees are made in the KIM/application form and / or separate nomination request forms is made available to the investors. The details of the nominee(s) will be captured by the Registrar and will be available in the data base maintained. Upon receipt of intimation from the nominee(s) regarding demise of the investor, duly accompanied with necessary documents e.g. providing proof of the death of the Unit Holder, letter from nominee, attested copy of the death certificate of the unit holder, KYC and complete bank details of nominee along with his signature duly attested in original by the banker, furnishing proof of guardianship if the nominee is a minor, and such other documents as may be required from the nominee in favor of and to the satisfaction of the AMC/Registrar, the units will be transmitted to the nominee(s) as per the percentage advised by the investor and a confirmation/fresh Statement of account will be sent to the new holder(s). Only the following categories of Indian residents can be nominated: (a)individuals; (b) minors through parent/legal guardian (whose name and address must be provided); (c) religious or charitable trusts; and (d) Central Government, State Government, a local authority or any person designated by religious or diaminate dusts, and to Certifian Society, trust, body corporate, partnership firm, Karta of HUF, persons applying on behalf of minor or on power of attorney cannot nominate. A nomination in respect of Units will be treated as rescinded upon the Redemption of all Units. Cancellation of a nomination can be made only by the Unit Holders who made the original nomination and must be notified in writing. On receipt of a valid cancellation, the nomination shall be treated as rescinded and the AMC/Fund shall not be under any obligation to transfer the Units in favour of the nominee. The transfer of Units/payment to the nominee of the Redemption proceeds shall be valid and effectual against any demand made upon the Fund/AMC/Trustee and shall discharge the Fund/AMC/Trustee of all liability towards the estate of the deceased Unit Holder and his/her legal personal representative or other successors. The Fund, the AMC and the Trustee are entitled to be indemnified from the deceased Unit Holder's estate against any liabilities whatsoever that any of them may suffer or incur in connection with a nomination. The Investor may choose to provide or not provide the details of his nominee. Accordingly he shall choose to select the option provided under the application form of the scheme.

10. Waiver of Entry Load and Payment of commission and load structure:

No entry load will be charged by the Scheme to the investor. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder. Investors should note the following instructions for ensuring that the application is treated as a direct application:

 Broker code, if already printed on the forms must be struck off and countersigned by the investors.
 Ensure that the broker code block in the form is not left blank (i.e. it should be either struck of or indicated 'direct' or NA). However, if the investor does not specify the application as "Direct" or otherwise, then the AMC treats such applications as "Direct" in the interest of the investors.

11. Transaction charges (TC):
In case of applications of Rs. 10,000 & more and routed through a distributor who has opted for such TC, are deducted from the subscription may be applicable from time to time: (I) Rs. 150/- from a first time mutual fund investors application (An investor who invests for the first time ever in any mutual fund either by way of subscription or SIP); (ii) Rs. 100-from an existing mutual fund investors application. Units will be issued against the balance amount. Please tick the appropriate box as applicable to you. However, TC - in case of investments through SIP registered, shall be deducted only if the todocomitiment (i.e. amount per SIP installment x Number of installments) amounts to Rs. 10,000/- or more. The TC shall be deducted in 4 installments i.e. from the 2nd to the 5th installment, equally (In case there is a rejection for the reasons of non-availability of amount in the bank or bounce of cheque for any other reasons within 2 to 5th installments, TC will be deducted from the subsequent installments). If no option is ticked, the mutual fund reserves a right to check with investments in other mutual funds to ascertain new or existing investors. Transaction charges shall not be deducted for transactions carried out through the stock exchange platforms (i.e. BSE StAR MF Platform). In addition to above, upfront commission to distributors shall continue to be paid by the investor directly.

12. Employee Unique Identification Number (EUIN):

In order to assist in in addressing any instance of mis-selling at any point of time, it is regulatory for every employee/relationship manager/sales person of the distributor/broker (interacting with the investor for the sale of Mutual Fund products) of mutual fund products to quote the EUIN (for non-advisory transactions ('execution only') & advisory transactions) obtained from AMFI in the CAF. The EUIN is a 7 digit unique alpha numeric number (one alphabet and six numerals), individual ARN holders including senior citizens are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. It is further clarified that a mere quoting of EUIN will not give an "advisory" character to the transaction. However, in case of any exceptional cases where there is no interaction by the employee/sales person/relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the declaration separately signed by the investor, as mentioned on the top of the application

13. Units in Demat mode:

Units of MAMF can be held by way of an Account Statement or in Dematerialized ("Demat") form. Unit holders opting to hold the units in demat form must provide their Demat Account details in the specified section of the CAF. In order to hold the units in units in derilat form miss provide intelled behavior of the penal form, unificated by the penal form, unificated by the Fund at the time of launch of the Plan) and will be required to indicate in the CAF the DP's name, DP ID Number and the beneficiary account number of the applicant with the DP. Applicants must ensure that the sequence of names and other details like Client ID, Address and PAN details as mentioned in the application form matches that of the account held with the DP. Only those applications where the details are matched with the DP data will be treated as valid applications. If the details mentioned in the applications where the details are inactive with the DP data, the application shall be treated as invalid and shall be liable to be rejected. Unit Holders opting the units in the demat mode, can submit redemption/switch only through DP or through stock exchange platform. In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in

14. The US Department of the Treasury and the US Internal Revenue Service (IRS) has introduced the Foreign Account Tax Compliance Act (FATCA), effective July 01, 2014. The purpose of FATCA is to report financial assets owned by United States persons to the US tax authorities. Accordingly, AMC may be required to report information relating to the folios of the investors to the authorities. AMC reserves the right to seek additional information / documents sought for FATCA details in the CAF for the disclosure and reporting of any tax related additional information / documents sought for FALCA details in the CAF for the disclosure and reporting of any tax related information obtained or held by the fund to any local or foreign regulatory or tax authority ("TaxAuthority"). Upon request by the fund, investor hereby agrees to provide necessary information and permits the fund to disclose and report tax and account peculiar financial information to any local or foreign Tax authority. The potential consequences for failure to comply with requests for tax information disclosure include, but are not limited to: (a) Fund has the right to carry out actions which are necessary to comply with the local or foreign tax reporting obligations; (b) Fund has the ability to withhold taxes that may be due from certain payments made to the investor's account; (c) Fund has the right to represent the total control of the perportiate tax authority; (d) Fund has the right to refuse to provide certain services; and (e) Fund has the discretion to close investor accounts. The investor process to information provisely. The investor agrees to inform, or respond to any request from, the fund, if there are any changes to tax information previously

All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with theinvestment request. The indicia's are to identify a US Person as defined under the Laws of the United States of America. The absence of completed documentations may prevent us from accepting the investment and may require us to redeem existing investments in case the same is mandated by the regulatory authorities.

The identification of US person will be based on one or more of the following US indicia:- Identification of the investor as US citizen or resident (1) US is the place of birth or country of incorporation (2) Having US telephone number (3) Having any residence / mailing address / 'C/o address' / hold mail address / PO Box address in the US (4) Having Standing instruction to transfer funds to an account maintained in USA(5) Being POA holder based out of US or having US residence / citizenship (6) Paying tax in the US (7) Having Identification Number or any identification that indicates US residence / citizenship (6) Having US beneficiary owners /shareholders (9) The Director / Promotor / Authorised signatory / POA holder of nonindividual investor is because if US probled US provided us (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) transfer in the US (7) Having IS provided (1) the US (7) Having IS (8) Having s based out of US or holds US residence / citizenship.

15. Details under FATCA & CRS

Details under PATCA CRS
As a part of regulatory process, the AMC may seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders and will report to tax authorities / appointed agencies/institutions such as withholding agents should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

The investor may receive more than one request for information if you have multiple relationships with the AMC or its group The lines of may receive more and role requests to linitimisation if you have intrinsiple relationships with the whole entities. Kindly respond to all our requests, even if you have already supplied any previously requested information. For any queries about your tax residency, kindly contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

#It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Financial Institution (FI): The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined under FATCA guidelines.

- Non-Financial Entity (NFE): Types of NFEs that are regarded as excluded NFE are:

 a. Publicly traded company (listed company): A company is publicly traded if its stock are regularly traded on one or more established securities markets. (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange).

 b. Related entity of a publicly traded company: The NFE is a related entity of an entity of which is regularly traded on an established courtifies market.
- established securities market

01 Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50

c. Active NFE: (is any one of the following):

Code Sub-category

	percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses of a Financial Institution, except that an entity shall not qualify for this status if the entity furions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution,
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	Any NFE that fulfills all of the following requirements: (1) It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, attlietic, or educational purposes, or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; (2) It is exempt from income tax in India; (3) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory or residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or any political subdivision thereof. Explanation: For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:- (1) and investor Protection Fund referred to in clause (238E); and (3) an Investor Protection Fund referred to in clause (238E), of section 10 of the Act;
08	The stock of the entity is regularly traded on an established securities market or the non financial entity is a related entity of the entity, the stock of which is regularly traded on an established securities market.

- d. Document Type: Please mention the Code or Document as: "A" Passport; "B" Election ID Card; "C" PAN CARD; "D" Driving License; "E" NREGA Job Card.
 e. Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details.

(i) An organization exempt from tax under section 501 (a) or any individual retirement plan as defined in section 7701(a)(37). (ii) The United States or any of its agencies or instrumentalities. (iii) A state, the District of Columbai, a possession of the United States or any of their political subdivision or instrumentalities. (iv) A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(j). (v)A

corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i), (vi) A dealer in securities, commodities, or derivative financial instruments (including national principal contracts, futures, forwards and options) that is registered as such under the laws of the United States or any state. (vii) A real estate investment trust. (viii) A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the investment company act of 1940. (ix) A common trust fund as defined in section 584(a). (x) A bank as defined in section 581. (xi) A broker. (xii) A trust exempt from tax under section 664 or described in section 4947(a)(1). (xiii) A tax exempt trust under a section 403(b) plan or section 457(g) plan.

Passive Income includes: Dividends; Interest; Income equivalent to interest, Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE; Annuities; excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income; excess of gains over losses from the ranactions (including futures, forwards, options and similar transactions) in any financial assets, excess of foreign currency gains over foreign currency plans over foreign currency plans; Amounts received under exercise and value insurance contracts. (But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.)

Passive NFE means: any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or an investment entity defined in clause (b) of these instructions a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes).

Direct reporting NFE means: a NFFE that elects to report information about its direct or indirect substantial U.S. owners to

Owner documented FFI: An FFI meets the following requirements: The FFI is an FFI solely because it is an investment entity; The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company; The FFI does not maintain a financial account for any non participating FFI; The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in incrumstances; and The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemedcompliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

- 16. With effect from January 1, 2014, as per the US Securities Act of 1933, United States Person (U.S. Person), corporations and other entities organized under the applicable laws of the United States (U.S.) and Residents of Canada as defined under the other entures organized under the applicable laws of the Online's states (0.5.) and residents of canada as defining under the applicable laws of Canada should not invest in units of any of the Schemes of the Fund and should not the following:

 No fresh purchases (including SIP and Systematic Transfer Plans)/ additional purchases/switches in any Schemes of the Fund would be allowed. However, existing Unit Holder(s) will be allowed to redeem their units from the Schemes of the Fund. If an existing Unit Holder(s) subsequently becomes a U.S. Person or Resident of Canada, then such Unit Holder(s) will not be able to purchase any additional Units in any of the Scheme of the Fund.

 All existing registered SIP and Systematic Transfer Plans would be ceased.

 - For transaction from Stock Exchange platform, while transferring units from the broker account to investor account, if the investor has U.S./Canadian address then the transactions would be rejected.

 In case the AMC/MAMF subsequently identifies that the subscription amount is received from U.S. Person(s) or
 - Resident(s) of Canada, in that case the AMC/Fund at its discretion shall redeem all the units held by such person from the Scheme of the Fund at applicable NetAsset Value.

17. Ultimate Beneficial Owner (UBO)

Investors (other than Individuals) are required to provide details of UBO(s) and submit POI (viz. PAN with photograph or any other acceptable POI prescribed in common KYC form) of UBO(s). Non-individual applicants/investors are mandated to provide the details on UBO(s)' by filling up the declaration form for UBO. Providing information about beneficial ownership will be applicable to the subscriptions received from all categories of investors except Individuals and a Company listed on a stock exchange or is a majority owned subsidiary of such a Company. In case of any change in the beneficial ownership, the investor should immediately intimate AMC its Registrar (KRA, as may be applicable, about such changes. Please contact the nearest ISC of MAMF or log on to our website www.miraeassetmf.co.in for the Declaration Form.

Ultimate Beneficial Owner means:

- For Investor other than Trust: A 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of / entitlements to: (i) more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company; (ii) more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or (iii) more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
 - In cases where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity details should be provided of the natural person who is exercising control over the juridical person through other means (i.e. control exercised through voting rights, agreement, arrangements or in any other manner). However, where no natural person is identified, the identity of the relevant natural person who holds the position of senior managing official should be provided.
- ii. For Trust: The settler of the trust, the trustees, the protector, the beneficiaries with 15% or more of interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.
- Applicability for foreign investors: The identification of beneficial ownership in case of Foreign Institutional Investors (FIIs). Applicating for longing investors. The leaf included of the language investor of longing installation and including investors of longing investors of longin CIR/MIRSD/11/2012 dated September 5, 2012.
- UBO Code Description: UBO-1: Controlling ownership interest of more than 25% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company, UBO-2: Controlling ownership interest of more than 15% of the capital or profits of the juridical person is a partnership. UBO-3: Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person is a partnership. UBO-3: Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [investor], where the juridical person is an unincorporated association or body of individuals. UBO-4: Natural person exercising control over the juridical person through other means exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where never over the property of the property of sonice. where no natural person exerts control through ownership interests]. UBC-5: Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above]. UBC-6: The settlor(s) of the trust. UBC-7: Trustee(s) of the Trust. UBC-8: The Protector(s) of the Trust [if applicable]. UBC-9: The beneficiaries with 15% or more interest in the trust if they are natural person(s). UBO-10: Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership.
- 18. Investors may please note that the primary holders own email address and mobile number should be provided for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions

In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCA declaration form (available on www.miraeassetmf.co.in).

APPLICATION FORM FOR AUTO SWITCH OUT TO NEW FUND OFFER SCHEME MIRAE ASSET BANKING & PSU DEBT FUND (MABPDF)



Name & Broker Code/ ARN/RIA Code	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.
EUIN Declaration: Declaration for "Execution Only the EUIN box has been intentionally left blank by me advice of in-appropriateness, if any, provided by the feed/portfolio holdings/NAV etc. in respect of my/out	e/us as this transaction is executed w he employee/relationship manager/	rithout any interaction or advice b sales person of the distributor/s	y the employee/relationshub broker. RIA/Declarationshub	nip manager/sales person of the above don: "I/We hereby give you my/our cons	listributor/sub broker or notwithstanding the
Sign of 1®Applicant / Guardian / Auth. Signa	story / PoA / Karta Si	gn of 2" Applicant / Guardian /	Auth. Signatory / PoA	Sign of 3" Applicant /	Guardian / Auth. Signatory / PoA
1. EXISTING UNIT HOLDER INFORM		records under the folio r me of 1 st Unit Holder:	number mentioned	will apply for this application.)
2. ADDITIONAL PURCHASE	1 st Applicar	at 2	d Applicant	3 rd Applicant	
KYC compliance status: Please (✓) Scheme	і Арріісаі	Regular Plan	Growth (Default)	Div. Payout Div. Reinvestment (Defa	Div frequency*
*Dividend frequency is applicable only fo	r Mirae Asset Cash Managen	nent Fund & Mirae Asset S	avings Fund.		
Payment Type: Please (✓)	Non-Third Party Paym	ent	Payment (Please a	attach 'Third Party Payment De	eclaration Form')
Core Banking A/c No.:			A/c.	· ()	CURRENT SAVINGS NRC
Cheque / DD / UTR No. & Date	Amount of Cheque / DD / RTGS / NEFT in figures (₹		Drawn on Bran		y-In Bank A/c No. or Cheque Only)
TRANSACTION CHARGES (Refer Institransaction charges, ₹ 100/- will be d					
2a. DEMAT ACCOUNT DETAILS – Mano	datory for units in Demat Mode	Please ensure that the sequ	uence of names as me	ntioned as given in folio, matches	s as per the Depository Details.
National Securities Depository L	imited (NSDL)	Ce	ntral Depository	Services (India) Limited	(CDSL)
DP Name:		DF	Name:		
	Benef. A/C No.		Digit A/C No.	ment Deliv	very Instruction Slip (DIS)
3. SWITCH REQUEST - I WISH TO S	• ,				very manuculon slip (Dis)
From Scheme		Regular Plan Direct Plan	Growth	Div. Payout Div. Reinvestment	☐ Div frequency*
Amount (in figures) (₹):		Or Units (n figures):		Or All Units
Amount (in words) (₹):					
To Scheme: MIRAE ASSET BANKI PSU DEBT FUND				Default) Div. Payout	Div. Reinvestment (Default
*Dividend frequency is applicable only fo					
4. DECLARATION AND SIGNATURE To The Trustees, Mirae Asset Mutual Fund (The Fund) – (A agree to abide by the terms, conditions, rules and regulation provisions of the Income Tax Act, Anti Money Laundering L Asset Mutual Fund. (D) The information given in / with thi information/details with the AMC / Fund/Registrars and Tra I/We will indemnify the Fund, AMC, Trustee, RTA and other form of trail commission or any other mode), payable to him indicative portfolio and/ or any indicative yield by the Fund/I I/We have read, understood and shall be bound by the term (RIA) through the registrar or otherwise. (I) Applicable to Fo invest into the Scheme as per the said FEMA regulations a notify the AMC, in which event the AMC reserves the right t confirm that the information provided by me / us on this Fon will be presumed that applicant is the ultimate beneficial ow has concealed the facts of beneficial ownership. I/We also Aadhaar: I/We hereby voluntarily submit Aadhar card to the "Securities and Exchange Board of India ("SEBI") vide if) Having read and understood the contents as governing the scheme. (B) J/We hereby, aws or any other applicable laws enacted is application form is true and correct and nsfer Agent (RTA) from time to time. J/We I intermediaries in case of any dispute regant for the different competing Schemes of v. AMC/Its distributor for this investment. J/We in the skept of the PIN agreement avail reign Resident's Residing in India: - I/We of or dother applicable laws and regulations. (or oredeem my / our investments in the Schemis true, correct, and complete. I / We also, and in the schemis true, correct, and complete. I / We also, and in the schemis true, correct, and complete in with no declaration to submit. In such undertake to keep you informed in writting Fund/AMC for updating the same in my follows.	s of the SID of the Scheme applied for declare that the amount invested in the by the Government of India from time further agrees to furnish additional in ereby confirm that the AMC/Fund shading the leigibility, validity and authoriarious Mutual Funds from amongst with a have not received nor have been indiable on the AMC website for transactionfirm that I/We satisfy the Residency J) I / We confirm that I am / We are no inverse; NK; FATCA /CRS Certification: oconfirm that I / We have read and uncase, the concerned SEBI registered i about any changes/modification to the.	Including the scheme(s) avai scheme is through legitimate o time. (C) Signature of the na formation sought by Mirae A Il have the right to share my in zation of my/our transactions. ich the Scheme is being reco- uced by any rebate or gifts, dir go online. (H) RIA: I/We here! test as prescribed under FEb. United States person(s) und I/We have understood the in erstood the FATCA& CRS Ter- ter termediary reserves the right e above information in future	able during the New Fund Offer period); I/We I sources only and does not involve and is not de ominee acknowledging receipts of my/our cred sest Investment Managers (India) Private Lim formation and other details with the regulatory (E) I/We further declare that "The ARN holder Immended to me/us. (F) I/We hereby confirm the actly or indirectly in making this investment. (G) y agree to consent the AMC to share my trans. A provisions. I/We further declare that I/We am er the laws of United States or resident(s) of Ca formation requirements of this Form (read alon oms and Conditions and hereby accept the sam to reject the application or reverse the allotmer. & also undertake to provide any other addition	esigned for the purpose of the contravention of any lit will constitute full discharge of liabilities of Miny lited "(AMC) / Fund and undertake to update the and government authorities as and when needed. has disclosed to me/us all the commissions (in the lat I/We have not been offered/communicated any) Applicable to Investors availing the online facility: action details to the registered investment advisa- rater "Person Resident in India" and are allowed to anada. In case of change to this status, I / We shall go with the FATCA & CRS Instructions) and hereby lip. In case the above information is not provided, it and fund in the subsequently it is found that applicant all information as may be required at your end. (L)
Investments (India) PvtLtd* to 'Mirae Asset Investment Man Signature of 1st Applicant/Guardian/Authorised (AS IN BANK RECORDS)	agers (India) Private Limited'. Kindly refers	notice cum addendum no. AD/28/2019 ature of 2 nd Applicant/Guardian // (AS IN BANK REC	dated November 25, 2019 for a constant of the	further details. Signature of 3 rd Applicant/	Guardian/Authorised Signatory/PoA SANK RECORDS)
Received Application from Mr Scheme Name MIRAE ASSET BANKING & PS (AUTO SWITCH OUT AP					as per details below:
Scheme Name					- 4 O - H 4
MIRAF ASSET BANKING & DS	U DEBT FUND (MABPDF)		ent Details		of Collection Centre / ISC

Bank & Branch_

- 1. Auto Switch facility is a Special facility available to the existing investors having investments in Specified Schemes of Mirae Asset Mutual Fund (MAMF) only during the New Fund Offering (NFO) period whereby investors can switch their units from such Specified Schemes at the specified date during the NFO Period.
- 2. Unit holders are advised to read the Statement of Additional Information (SAI), Scheme Information Document (SID) and Key Information Memorandum (KIM) of the Scheme which is available at all the Designated Investor Service Centers (ISCs), Brokers / Distributors and on our website www.miraeassetmf.co.in carefully before investing.
- 3. This Auto Switch Form can be used only by Existing Unit holders having investments in specified schemes of Mirae Asset Mutual Fund to switch their units. MAMF reserves the right to extend or limit the said facility on such terms and conditions as may be decided from time to time. For eligible/specified transferor scheme please refer to SID.
- 4. Existing unit holders having investments in schemes other than specified schemes and wish to switch their investments have to fill up Switch Section of the Application Form.
- 5. The application for Auto Switch will be processed on the closing day of the NFO.
- 6. All valid Auto Switch request would be treated as switch out / redemption for the Transferor Scheme.
- 7. The units from the Specified Transferor Scheme will be switched, subject to provisions mentioned in the Scheme Information Document of the Transferor Scheme. The units in the Transferee Scheme will be allotted at the NFO Price of the Scheme on the Specified Schemes Specified Schemes allotment date.
- 8. Unit holder are required to maintain clear balance in accordance with amount specified in the Auto Switch Application Form on the execution date. In case of insufficient balance in the account / folio, the application for Auto Switch will be rejected.
- 9. Unit holders should note that Unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number
- 10. The Unit holders are given an Option to hold the units by way of an Account Statement or in Dematerialized ('Demat') form. Unit holders opting to hold the units in Demat form must provide their Demat Account details in the specified section of the application form. The Unit holder intending to hold the units in Demat form are required to have a beneficiary account with the DP (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the application the DP's name, DP ID Number and the Beneficiary account number of the applicant with the DP. In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to Demat form.
- 11. For Direct Investments, please mention "Direct" in the column "Name & Broker Code / ARN".
- 12. This facility will not be available for units which are under any Lien/Pledged or any lock-in period.
- 13. The application is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar.
- 14.MAMF reserves the right to withdraw this amend or withdraw this facility or change the procedures from time to time.
- 15.Investors are requested to clearly mention the Plan and the Option in which investment is to be made. In case of any ambiguity, the application will be liable to be rejected. In the absence of clear indication as to the choice of Option (Growth or Dividend Payout), by default, the units will be allotted under the Growth Option of the Plan.
- 16.Investors subscribing under Direct Plan of the Mirae Asset Banking & PSU Debt Fund will have to indicate the Scheme / Plan name in the application form as "Mirae Asset Banking & PSU Debt Fund Direct Plan". Investors should also indicate "Direct" in the ARN column. In case ARN code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, ARN code will be ignored and the application will be processed under Direct Plan. If the investor does not mention Direct against the scheme name and the ARN code is also not provided the default allotment would be made in the Direct Plan.
- 17. Employee Unique Identification Number (EUIN) would assist in tackling the problem of mis-selling even if the employee / relationship manager / sales person leave the employment of the distributor.